

## Heuristic Optimization – Homework 3

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### 4 Exercise 4

#### 4.1 Task a

**To show:**

Let  $f: \mathbb{N} \rightarrow \mathbb{R}^+$  .  $(\exists c \in (0,1) \exists n_0 > 0 \forall n > n_0: f(n+1) \leq c f(n)) \Rightarrow f = 2^{-\Omega(n)}$  .

**Prove:**

We define a helper function  $g: g(n) = -\log_2(f(n))$  and therefore  $f(n) = 2^{-g(n)}$  .

We assume  $\exists c \in (0,1) \exists n_0 > 0: f(n+1) \leq c \cdot f(n) \Leftrightarrow 2^{-g(n+1)} \leq c \cdot 2^{-g(n)}$

$$\Leftrightarrow -g(n+1) \leq \log_2(c) - g(n) \quad (\text{take the logarithm})$$

$$\Leftrightarrow g(n+1) \geq g(n) - \log_2(c)$$

We define a new variable  $d = -\log_2 c$  . Since  $c \in (0,1)$  ,  $d > 0$  .

$$\Leftrightarrow g(n+1) \geq g(n) + d$$

With this formula we can always find a lower bound for  $g(n+1)$  using  $g(n)$ :

$$g(n+1) \geq g(n) + d \quad \text{and} \quad g(n+2) \geq g(n+1) + d .$$

Both combined:  $\Rightarrow g(n+2) \geq (g(n) + d) + d$

$$\Leftrightarrow g(n+2) \geq g(n) + 2 \cdot d$$

$\Rightarrow g(n+3) \geq g(n) + 3 \cdot d$  and so on.

In general:

$$g(n+x) \geq g(n) + x \cdot d \quad \text{for } x \geq 0$$

$$\Leftrightarrow g(n_0+x) \geq g(n_0) + x \cdot d \quad (\text{using } n_0 \text{ as } n)$$

$$\Leftrightarrow g(n) \geq g(n_0) + d \cdot (n - n_0) \quad (n = n_0 + x)$$

$$\Leftrightarrow g(n) \geq dn + g(n_0) - dn_0$$

If we can find a  $y > 0$ , so that  $y \cdot g(n) \geq n$  , then  $g(n) = \Omega(n)$  (by definition of  $\Omega(n)$  )

Because of  $\frac{n}{g(n)} \leq \frac{n}{dn + g(n_0) - dn_0} = \frac{1}{d} \cdot \frac{n}{n + \frac{1}{d} \cdot (g(n_0) - dn_0)} \leq \frac{1}{d}$

we set  $y: y = \frac{1}{d} \geq \frac{n}{g(n)}$  , and therefore  $y \cdot g(n) \geq n$

$$\Rightarrow g(n) = \Omega(n)$$

$$\Leftrightarrow f = 2^{-\Omega(n)}$$

## 4.2 Task b

**To show:**

$$\exists f = 2^{-\Omega(n)} : \neg \exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n)$$

**Proof:**

Let  $f$  be the following function:

$$f(n) = \begin{cases} 2^{-n}, & \text{if } n \text{ is even} \\ 2^{-2n}, & \text{if } n \text{ is odd} \end{cases}$$

$n$  and  $2n$  are in  $\Omega(n)$ , so  $f(n) = 2^{-\Omega(n)}$

We show that  $\neg \exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n)$  by contrapositive:

We assume  $\exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n)$ .

Therefore for all odd  $n$  ( $n+1$  is even) with  $n > n_0$  :  $f(n+1) \leq c \cdot f(n)$

$$\Rightarrow 2^{-n+1} \leq c \cdot 2^{-2n}$$

$$\Leftrightarrow n+1 \leq \log_2 c$$

$$\Leftrightarrow n \leq \log_2 c - 1$$

Since  $n$  cannot stay smaller than a constant with rising  $n$ , this must be false.

We concluded this false statement from the assumption that

$$\exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n) .$$

Therefore  $\neg \exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n)$ .

With  $f$ , we found a function to fulfill

$$\boxed{\exists f = 2^{-\Omega(n)} : \neg \exists c \in (0,1) : \exists n_0 \forall n > n_0 : f(n+1) \leq c \cdot f(n)}$$

### 4.3 Task c

**To show:**

$$(\exists n_0 > 0 \forall n > n_0: f(n) \leq g(n)) \Rightarrow 2^f = O(2^g)$$

**Proof:**

$$\begin{aligned} & \exists n_0 > 0 \forall n > n_0: f(n) \leq g(n) \\ \Leftrightarrow & \exists n_0 > 0 \forall n > n_0: 2^{f(n)} \leq 2^{g(n)} \\ \Rightarrow & \exists c > 0 \exists n_0 > 0 \forall n > n_0: 2^{f(n)} \leq c \cdot 2^{g(n)} \end{aligned}$$

$$\boxed{\Leftrightarrow 2^f = O(2^g)}$$

#### 4.4 Task d

Given

$$f, g: \mathbb{N} \rightarrow \mathbb{R}^+$$

To show:

$$2^f = O(2^g) \Rightarrow f = O(g) \text{ is wrong}$$

Proof by counter example:

$$f(n) = \frac{1}{n}, \quad g(n) = \frac{1}{n^2}$$

$$\exists n_0 > 0 \forall n > n_0: n-1 < n^2$$

$$\Leftrightarrow \exists n_0 > 0 \forall n > n_0: \frac{n-1}{n^2} < 1$$

$$\Leftrightarrow \exists n_0 > 0 \forall n > n_0: 2^{\frac{n-1}{n^2}} \leq 2^1$$

$$\Leftrightarrow \exists n_0 > 0 \forall n > n_0: 2^{\frac{1}{n} - \frac{1}{n^2}} \leq 2$$

$$\Leftrightarrow \exists n_0 > 0 \forall n > n_0: 2^{\frac{1}{n}} \leq 2 \cdot 2^{\frac{1}{n^2}}$$

$$\Rightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: 2^{\frac{1}{n}} \leq c \cdot 2^{\frac{1}{n^2}}$$

$$\Leftrightarrow 2^f = O(2^g)$$

Assuming  $f = O(g)$  :

$$\exists c > 0 \exists n_0 > 0 \forall n > n_0: \frac{1}{n} \leq c \cdot \frac{1}{n^2}$$

$$\Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: n \leq c$$

**false.**

We concluded this false statement from the assumption that  $f = O(g)$  .

Therefore  $\boxed{f \neq O(g)}$  .

To get  $f = O(g)$  we add the following constraint:  $\lim_{n \rightarrow \infty} g(n) \neq 0 \wedge \forall n > n_0: g(n) \neq 0$

Assuming  $2^f = O(2^g)$  :

$$\Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: 2^{f(n)} \leq c \cdot 2^{g(n)}$$

$$\Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: f(n) \leq \log_2 c + g(n)$$

$$\Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: f(n) \leq g(n) \cdot \left( \frac{\log_2 c}{g(n)} + 1 \right)$$

To show that  $f = O(g)$ , we just have to prove, that

$$\exists a > 0 \exists n_0 > 0 \forall n > n_0: f(n) \leq g(n) \cdot a \quad (\text{definition of } O(g))$$

So if there is a constant  $a > 0$ , so that  $\frac{\log_2 c}{g(n)} + 1 \leq a$ , then

$$\Leftrightarrow \exists a > 0 \exists c > 0 \exists n_0 > 0 \forall n > n_0: f(n) \leq g(n) \cdot \left( \frac{\log_2 c}{g(n)} + 1 \right) \leq g(n) \cdot a$$

$$\Leftrightarrow f = O(g) .$$

Since  $\lim_{n \rightarrow \infty} g(n) \neq 0$  and  $\forall n > n_0: g(n) \neq 0$ , there is a constant  $d > 0$ , so that

$$\begin{aligned} \forall n > n_0: g(n) &\geq d \\ \Leftrightarrow \forall n > n_0: \frac{\log_2 c}{g(n)} + 1 &\leq \frac{\log_2 c}{d} + 1 \end{aligned}$$

Therefore there is a constant  $a$ , such that:

$$\Leftrightarrow \exists a > 0 \forall n > n_0: \frac{\log_2 c}{g(n)} + 1 \leq \frac{\log_2 c}{d} + 1 = a$$

$$\Rightarrow \exists a > 0 \forall n > n_0: \frac{\log_2 c}{g(n)} + 1 \leq a$$

$$\Leftrightarrow \exists a > 0 \forall n > n_0: f(n) \leq g(n) \cdot \left( \frac{\log_2 c}{g(n)} + 1 \right) \leq g(n) \cdot a$$

$$\Leftrightarrow \exists a > 0 \exists n_0 > 0 \forall n > n_0: f(n) \leq g(n) \cdot a$$

$$\boxed{\Leftrightarrow f = O(g)}$$

Therefore when applying the constraint  $\lim_{n \rightarrow \infty} g(n) \neq 0 \wedge \forall n > n_0: g(n) \neq 0$ ,

then  $2^f = O(2^g) \Rightarrow f = O(g)$  is true.

## 4.5 Task e

To show:

$$\exists f, g: \mathbb{N} \rightarrow \mathbb{R}^+ : f = O(g) \wedge 2^f \neq O(2^g)$$

Proof:

$$\begin{aligned} f(n) &= 2 \cdot n, \quad g(n) = n \\ \exists n_0 > 0 \forall n > n_0: \quad & 2 \cdot n < 3 \cdot n \\ \Leftrightarrow \exists n_0 > 0 \forall n > n_0: \quad & f(n) < 3 \cdot g(n) \\ \Rightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: \quad & f(n) < c \cdot g(n) \\ \boxed{\Leftrightarrow f = O(g)} \end{aligned}$$

Assuming  $2^f = O(2^g)$  :

$$\begin{aligned} \exists c > 0 \exists n_0 > 0 \forall n > n_0: \quad & 2^{2n} \leq c \cdot 2^n \\ \Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: \quad & 2^n \leq c \\ \Leftrightarrow \exists c > 0 \exists n_0 > 0 \forall n > n_0: \quad & n \leq \log_2 c \end{aligned}$$

**false.**

We concluded this false statement from the assumption that  $2^f = O(2^g)$  .

Therefore  $\boxed{2^f \neq O(2^g)}$  .