

Distributed Data Management Stream Processing

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# Distributed Data Management Types of Systems

### Services (online systems)

- Accept requests and send responses
- Performance measure: response time and availability
- Expected runtime: milliseconds to seconds

# Batch processing systems (offline systems)

- Take (large amounts of) data; run (complex) jobs; produce some output
- Performance measure: throughput (i.e., data per time)
- Expected runtime: minutes to days

Stream processing systems (near-real-time systems)

- Consume volatile inputs; operate stream jobs; produce some output
- Performance measure: throughput and precision
- Expected runtime: near-real-time (i.e., as data arrives)

**Distributed Data Management** Stream Processing

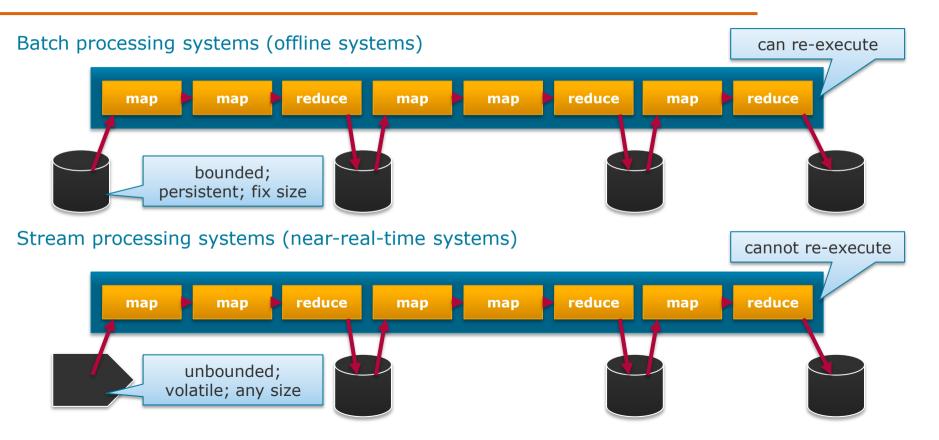
**OLTP** 

OLA



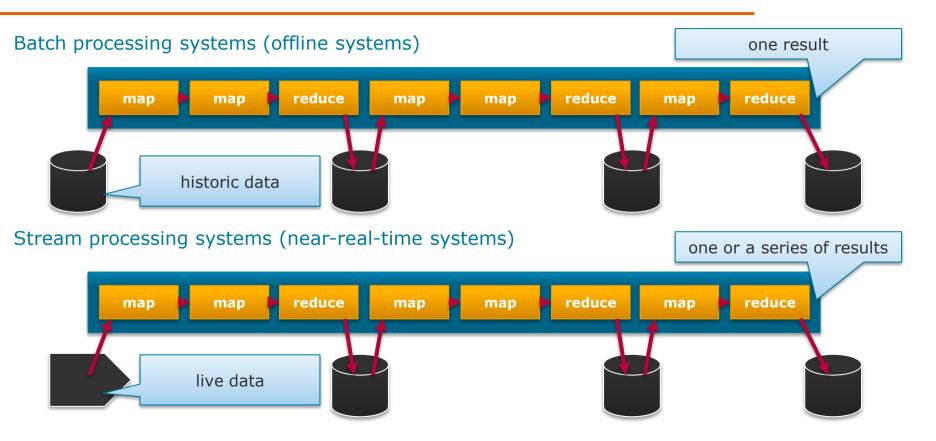
# Distributed Data Management Types of Systems





# Distributed Data Management Types of Systems





# Distributed Data Management Use Cases for Streaming Data

# Sensor Processing

Continuous and endless readings by nature

# **Process Monitoring**

Side effects of processes that are continuously observed

# Location Tracking

Continuous location updates of certain devices

## Log Analysis

- Digital footprints of applications that grow continuously
   User Interaction
- Continuous and oftentimes bursty click- and call-events
   Market and Climate Prediction
- Changing stock market prices and weather characteristics

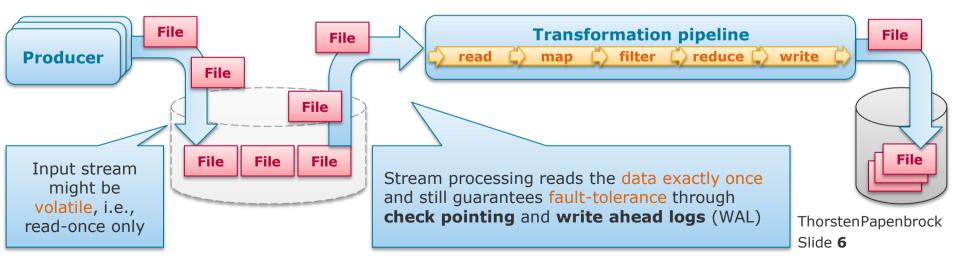






### Batched Stream Processing

- Reasons:
  - Incremental processing: start processing data that is still being written to
  - Latency reduction: pipeline data to maximizing resource utilization



# Distributed Data Management Streams

# Data Stream

- Any data that is incrementally made available over time
- Examples:
  - Unix stdin and stdout
  - Filesystem APIs (e.g. Java's FileInputStream)
  - Online media delivery (audio/video streaming)
- Creation from ...
  - static data: files or databases (read records line-wise)
  - dynamic data: sensor readings, service calls, transmitted data, logs, ...

## Event

- an immutable record in a stream (often with timestamp)
- "Something that happened"
- Encoded in Json, XML, CSV, ... maybe in binary format —



Stream Processing



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Any format that allows incremental appends

# Distributed Data Management Batch vs. Stream





# Overview Stream Processing



### Transmitting Event Streams

# Databases and Streams

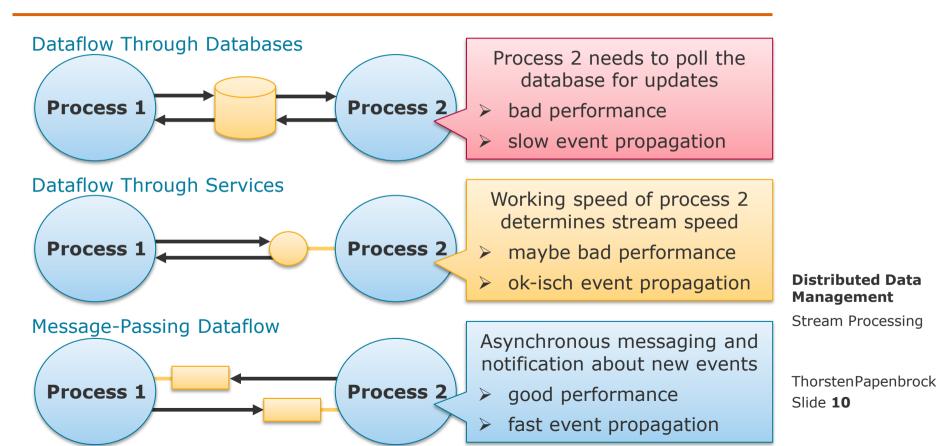
**Processing Streams** 

# Distributed Data Management

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# Transmitting Event Streams Event Transmission





Transmitting Event Streams Message-Passing Dataflow (Recap)

### Communication

Objects send messages to other objects via queues.

### Message

- Container for data (= events)
- Often carries metadata (sender, receiver, timestamp, ...)

### Message queue

- Data structure (queue or list) assigned to communicating object(s)
- Enqueues messages in order of arrival
- Buffers incoming messages for being processed
- Notifies subscribers if new messages are available

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What if the stream producer is faster than the stream consumer(s)?

- a) Drop messages
  - Delete messages that cannot be accepted.
  - Ok for use cases where timeliness is more important than completeness (e.g. for processing of sensor readings)

## b) Buffer messages

- Store messages in a cache until resources are available.
- Ok to capture load spikes and if there is no constant overload that fills up buffers permanently (e.g. for user activity event streams)

# c) Apply backpressure

- Block the sender until resources are available.
- Ok if the sender can be blocked and if the stream is not generated from outside (e.g. for reading a file as a stream from disk)

Most messaging systems use a mix of all three options.

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Transmitting Event Streams Message Congestion

# Transmitting Event Streams Messaging Faults

What if nodes crash or temporarily go offline?

- a) Fault ignorance
  - Failed messages are lost.
  - Ensures optimal throughput and latency
- b) Fault tolerance
  - Failed messages are recovered from checkpoints (disk or replicas).
  - Ensures messaging reliability



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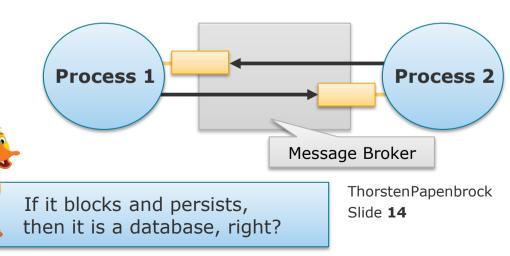
Stream Processing



# Transmitting Event Streams Message Brokers (Recap)

# Message Broker

- Also called message queue or message-oriented middleware
- Part of the message-passing framework that delivers messages from their sender to the receiver(s)
- Maintains queues that sender can post messages to
- Notifies subscribers on new messages
- Resolves sender an receiver addresses
- Applies binary encoding when necessary
- Define the ...
  - message congestion strategy
  - messaging fault strategy





# Transmitting Event Streams Message Brokers vs. Databases



# Message Broker

- Short lived messages
  - Delete messages once successfully transmitted
- Small working set
  - If the number of pending messages increases, the performance drops (disk!)
- Subscription-based retrieval
  - Deliver messages to all subscribers of a queue
- Push client communication
  - Knows clients and initiates communications

# Database

- Long-term persisted records
  - Store records until explicitly deleted
- Large working set
  - If the number of records increases, the performance is hardly affected
- Query-based retrieval
  - Read records upon client query using indexes
- Pull client communication
  - Clients are unknown and initiate communications

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Stream Processing

RoutingProducer send messages to queues.

Transmitting Event Streams

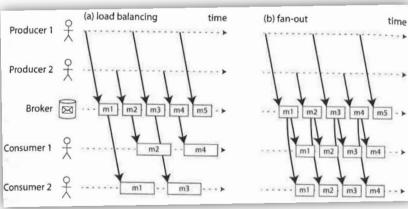
Message Brokers

- Message Broker notifies one or many consumers about such deliveries.
- Routing strategies:
  - a) One-to-one messages (Load Balancing)
    - Messages are routed to one subscriber
    - For data parallelism

Partition input stream

- b) One-to-many messages (Fan-out)
  - Messages are routed to all subscribers
  - For task parallelism

Replicate input stream





Transmitting Event Streams Message Brokers



Fault tolerance

- Acknowledgement:
  - Consumer send an acknowledgement to the Message Broker when they successfully received/completed a message.
  - Message Broker removes any completed message from its queues.
- Redelivery:
  - If acknowledgement fails to appear, the Message Broker redelivers it (perhaps to a different consumer).

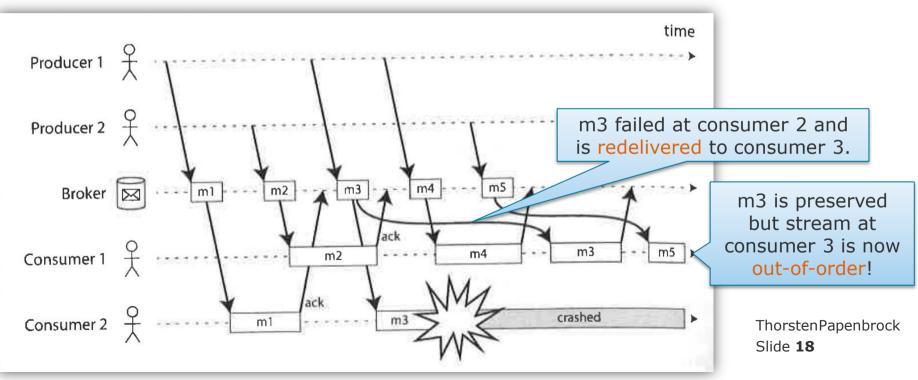
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# Transmitting Event Streams Message Brokers



### Fault tolerance



Persist

Message Brokers: Persist or Forget

 Keep entire message stream (until reaching size or time limit)

Transmitting Event Streams

- No need to track consumers
- Let consumers go back in time
  - Database-like
- Log-based Message Broker (e.g. Kafka, Kinesis or DistributedLog)

```
& kafka
```

 Remove processed messages from stream (immediately after acknowledgement)

Forget

- Track consumers to forget old content
- The past is past
  - Volatile, light-weight
- Queue-based Message Brokers (e.g. RabbitMQ, ActiveMQ or HornetQ)

RabbitMQ



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# Transmitting Event Streams Log-based Message Broker

- Message broker that persist messages as logs on disk (distributed, replicated)
- Logs are immutable and append-only
  - Excellent sequential read performance
  - Support parallel, conflict-free reading by multiple clients
- Uncontrolled one-to-many messaging (we do not know who will read a message)
- Replicated Logs
  - For fault tolerance and better parallel read performance
  - Leader-based (to avoid complex replication protocols)
- Partitioned Logs
  - For parallel writes
  - Message ordering guaranteed only within a partition (not between partitions)
  - Partitioning strategies:
    - round-robin, load, partition size, semantic keys, ...



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# Transmitting Event Streams Queue-based Message Broker

<sup>1</sup>Java Message Service (JMS) 2.0 Specification <sup>2</sup>Advanced Message Queuing Protocol (AMQP) Specification

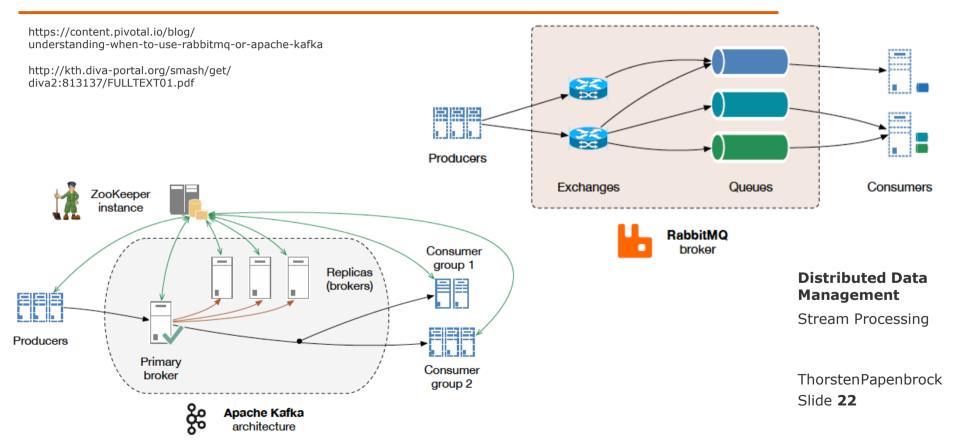
- Message broker that store messages in queues (distributed, replicated)
- Queues are mutable (usually in-memory) FIFO list data structures
  - Append messages at the end
  - Remove messages from the top
- Controlled one-to-one or one-to-many messaging (usually via JMS<sup>1</sup> or AMQP<sup>2</sup> protocols)
- Replicated/Mirrored Queues
  - For fault tolerance and availability only (no performance gain, because all replicas need to do all appends/removes)
  - Leader-based (to avoid complex replication protocols)
- No partitioning for queues
  - Create multiple queues manually if needed
- Reliability:
  - Send-and-acknowledge handshake with clients (keep messages until successfully acknowledged)

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# Transmitting Event Streams Message Brokers: Persist or Forget





Transmitting Event Streams Message Brokers: Persist or Forget

Keep entire message stream (until reaching size or time limit)

No need to track consumers

Let consumers go back in time

Database-like

Persist

Log-based Message Broker (e.g. Kafka, Kinesis or DistributedLog)



Remove processed messages from stream (immediately after acknowledgement) Track consumers to forget old content The past is past

Forget

Volatile, light-weight

Queue-based Message Brokers (e.g. RabbitMQ, ActiveMQ or HornetQ)

**B**RabbitMQ

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#### **Topics and Partitions**

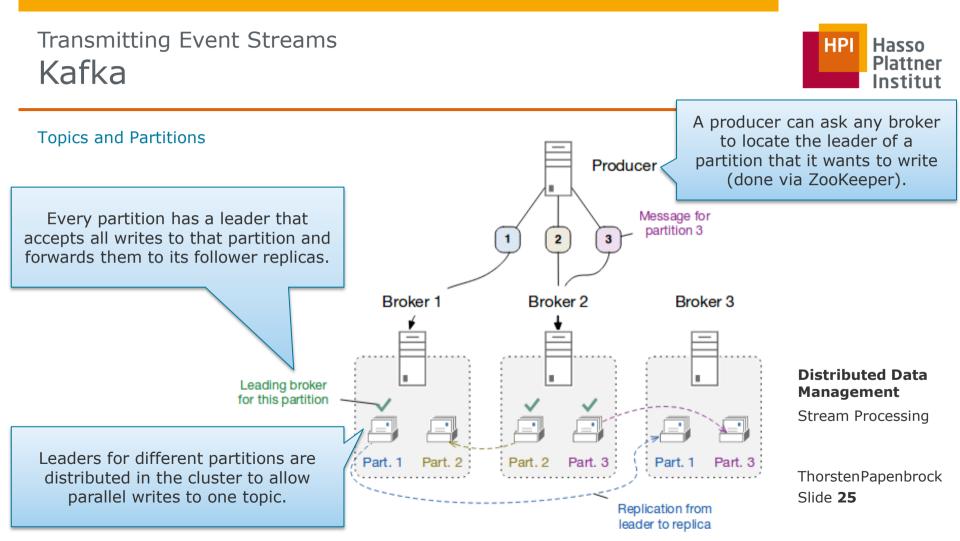
- Topics are logical groupings for event streams.
  - e.g. click-events, temperature-readings, location-signals
  - Every topic is created with a fixed number of partitions.
- Partitions are ordered lists of logically dependent events in a topic.
  - e.g. click-events by user, temperature-readings by sensor, location-signals by car
  - Provide "happens-before semantic" for these events
  - Order is valid within each partition, not across different partitions.
  - Are accessed sequentially
    - Producers write new events sequentially.
    - Consumers read events sequentially.
  - Purpose:
    - Parallelism: to read a topic in parallel
    - Load-balancing: to store the events of one topic on multiple nodes

In many cases, event ordering is not a concern and partitions are simply arbitrary splits of a topic (for parallelization and load-balancing)

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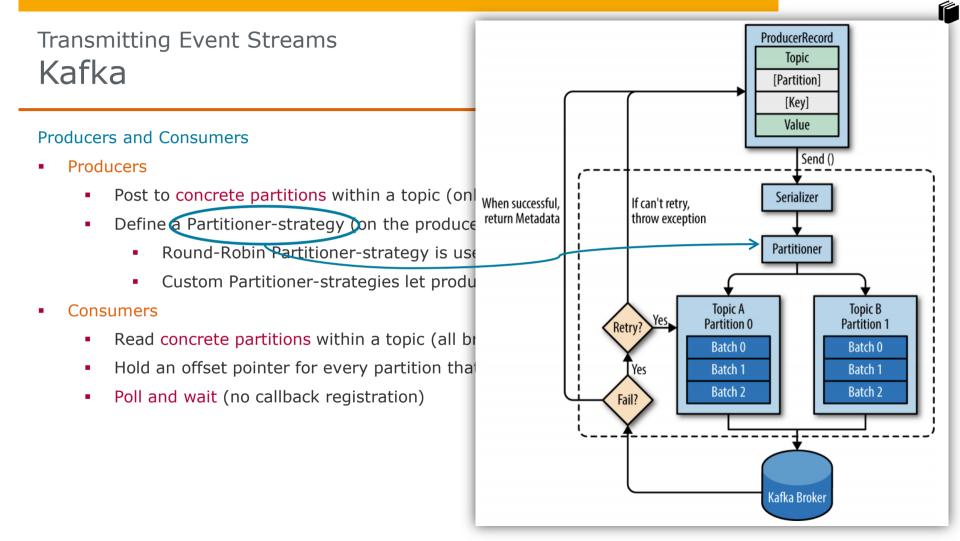
#### Producers and Consumers

- Producers
  - Post to concrete partitions within a topic (only one leader can take these posts).
  - Define a Partitioner-strategy (on the producer side) to decide which partition is next.
    - Round-Robin Partitioner-strategy is used by default.
    - Custom Partitioner-strategies let producers define semantic grouping functions.
- Consumers
  - Read concrete partitions within a topic (all broker with that partition can take these reads).
  - Hold an offset pointer for every partition that they read (on consumer side).
  - Poll and wait (no callback registration)

"Kafka does not track acknowledgments from consumers [...]. Instead, it *allows* consumers to use Kafka to track their position (offset) in each partition." (Book: Kafka - The Definite Guide)

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Stream Processing



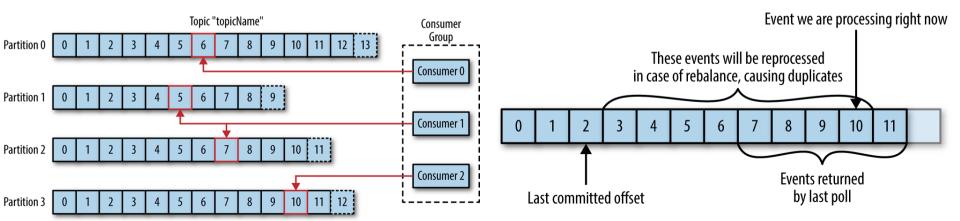


#### Producers and Consumers

Consumer Groups

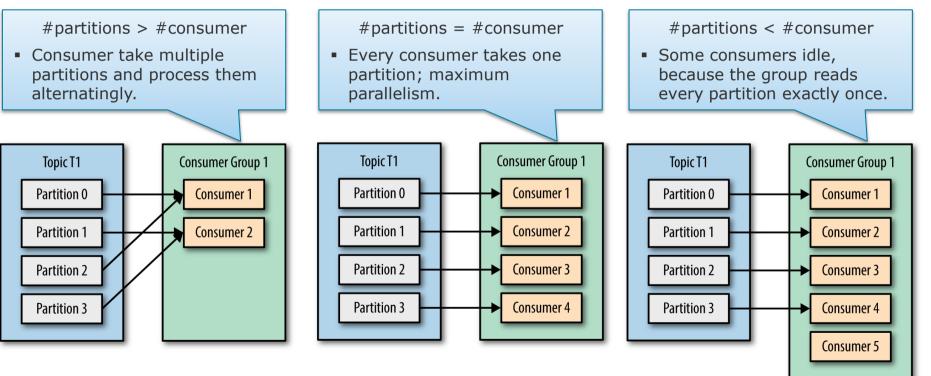
And in this way, Kafka kind of knows its consumers ...

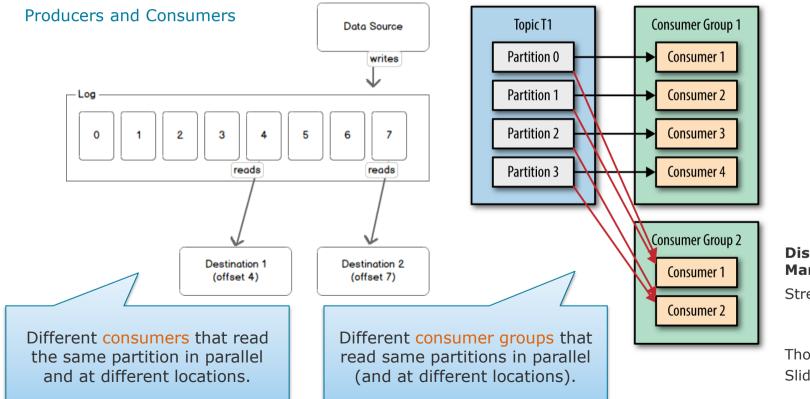
- A group of consumers that processes all events of one topic in parallel.
- The offsets for a consumer group can be managed by Kafka on server side.
  - A dedicated group coordinator manages offsets, membership, scheduling etc.
  - Consumer commit successfully processed offsets to the group coordinator so that the coordinator can re-assign partitions to consumers.





#### Producers and Consumers

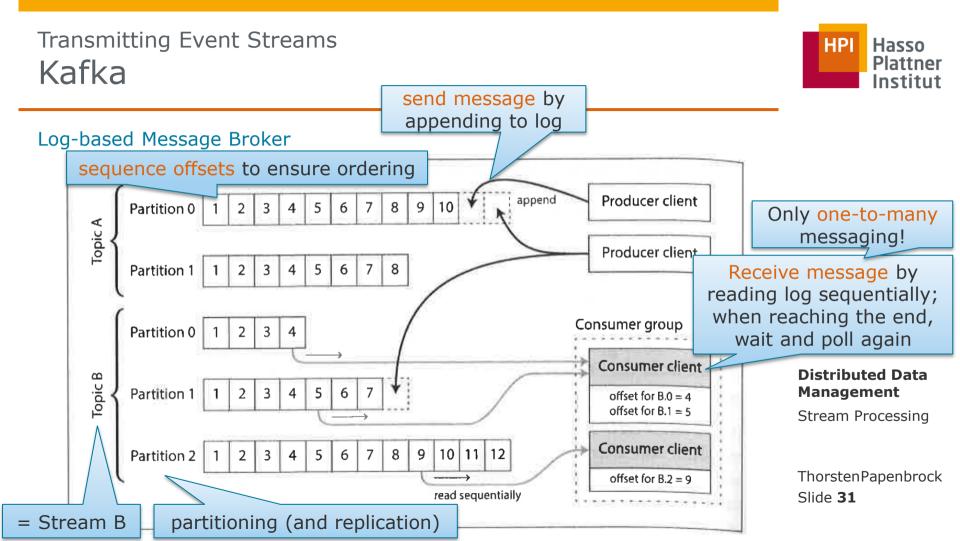


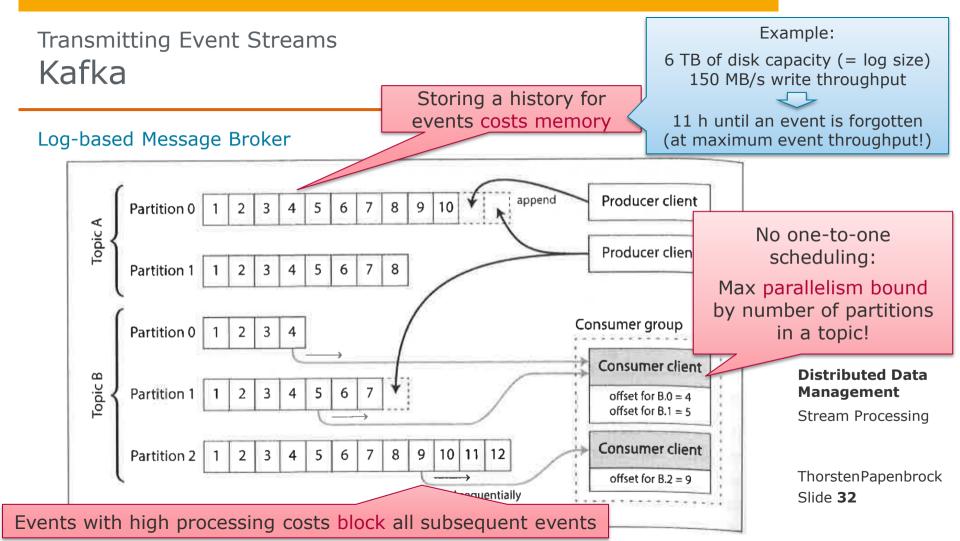


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#### Kafka APIs

- Communication with Kafka happens via specific APIs.
- The API can manage the specifics of the reading/writing process transparently.
  - e.g. offset-tracking (consumers) and partition-scheduling (producers)
- Two options:
  - A rich API that offers high abstraction, but limited control functions.
  - A low-level API that provides access to offsets and allows consumers to rewind them as the need.

#### Event lifetime Management Stream Processing Configurable: By time of event Max partition size ThorstenPapenbrock Slide 33



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### Optimizations that make Kafka fast:

- Sequential I/O:
  - Sequential writes avoid disk seek times.
  - Exclusive write access to logs avoids blocking (one writer per log).
  - Sequential reads enable pre-fetching and caching of messages.
- Minimal serialization/deserialization:
  - Standardized binary formats let producers, brokers and consumers use the same data representations without individual modification.
- Zero-copy policy:
  - Data exchange completely in kernel space without copying it to user space avoids is costly kernel-space to/from user-space copy processes (due to standardized formats, there is no need to copy messages into user space).
- Batch processing:
  - Batching of data reduces network calls and improves sequential writes.
  - Compression of batches (with LZ4, SNAPPY or GZIP) leads to better compression ratios.



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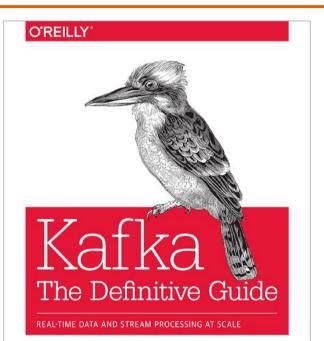
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### Further reading

- Kafka: The Definitive Guide
- <u>https://www.oreilly.com/library/</u> view/kafka-the-definitive/ 9781491936153/



Neha Narkhede, Gwen Shapira & Todd Palino Distributed Data Management Stream Processing



 Keep entire message stream (until reaching size or time limit)

No need to track consumers

Persist

- Let consumers go back in time
  - Database-like
- Log-based Message Broker (e.g. Kafka, Kinesis or DistributedLog)

Use if **throughput** matters, event processing costs are similar and the **order of messages** is important  Remove processed messages from stream (immediately after acknowledgement)

- Track consumers to forget old content
- The past is past
  - Volatile, light-weight
- Queue-based Message Brokers (e.g. RabbitMQ, ActiveMQ or HornetQ)

Use if **one-to-one scheduling** is needed, **event processing costs differ** and the order of messages is insignificant

#### Distributed Data Management

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# Transmitting Event Streams Message Brokers: Persist or Forget





Transmitting Event Streams Message Brokers: Persist or Forget

#### Keep entire message stream (until reaching size or time limit)

No need to track consumers

Persist

- Let consumers go back in time
  - Database-like
- Log-based Message Broker (e.g. Kafka, Kinesis or DistributedLog)

Use if **throughput** matters, event processing costs are similar and the **order of messages** is important

#### Wait throughput?

Forget

Yes, because ...

- dumping events to storage instead of routing them to consumers is faster.
- broker does not need to track acknowledgements for every event (only consumers track their queue offset).
- broker can utilize batching and pipelining internally.

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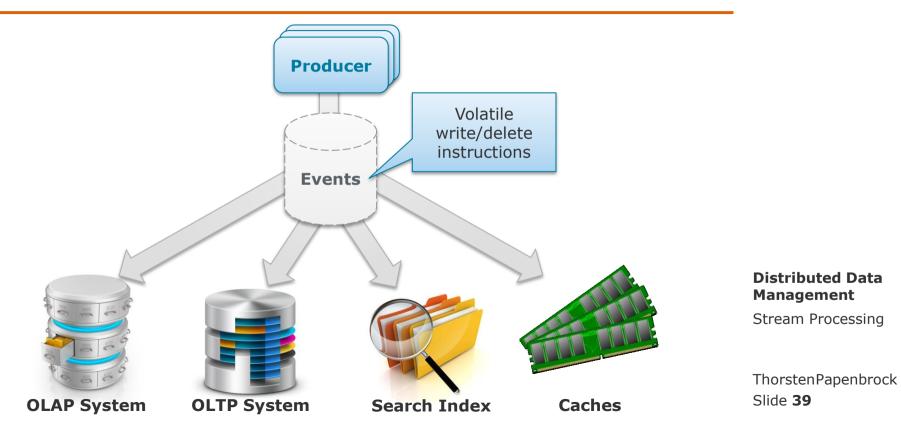
Overview Stream Processing



Transmitting Databases **Processing Streams Event Streams** and Streams

#### **Distributed Data Management** Stream Processing

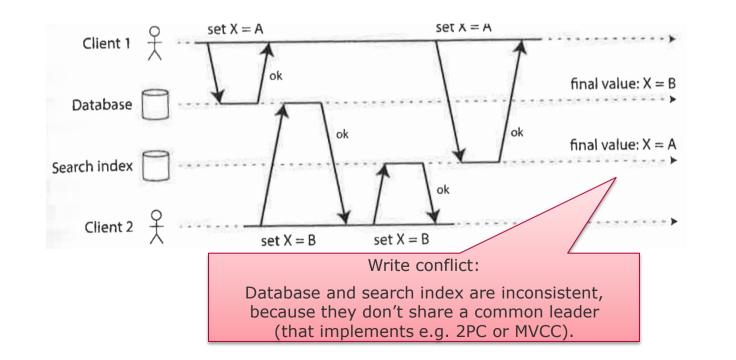
## Databases and Streams Data Storage – Keeping Systems in Sync



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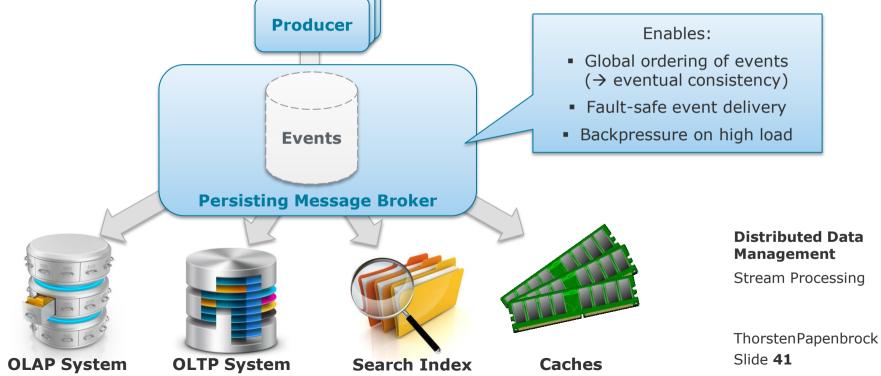
## Databases and Streams Data Storage – Keeping Systems in Sync



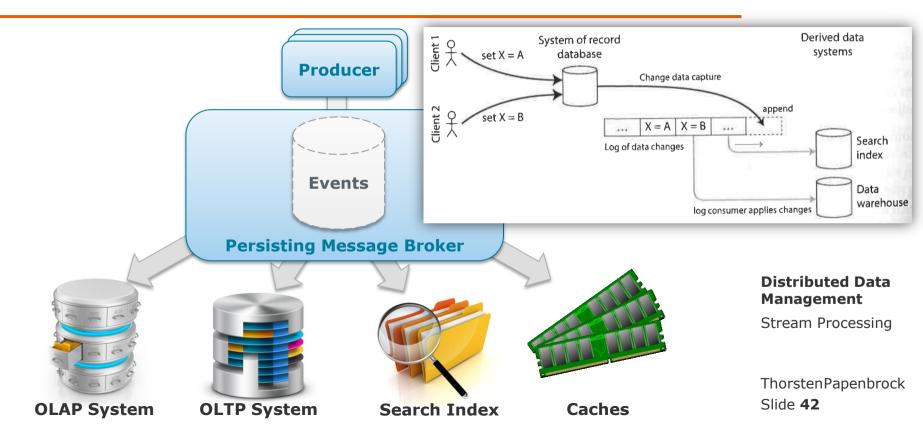
Management Stream Processing

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## Databases and Streams Data Storage – Keeping Systems in Sync



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## Databases and Streams Message Broker to Database

#### Data Change Event Streams

- If events are change operations (writes/deletes) to individual objects (records) it suffices to store only the most recent log entry for each object to rebuild a database.
- Log Compaction:
  - Periodically removes outdated log entries from the log
  - Lets the log grow linearly with the data

#### Message Broker $\rightarrow$ Database

- If the broker knows what the events mean (e.g. key-value mappings) it can apply log compaction.
  - > Event log does not outgrow the maximum buffer size.
    - Message broker becomes a database.
- Implemented by e.g. Apache Kafka

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Stream Processing

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Databases and Streams Message Broker to Database



#### Message Broker as a Database

- Advantages:
  - Data Provenance/Auditability:
    - The line of events describes the history of every value.
    - > Allows to follow a value back in time (e.g. the balance history of a bank account)
      - > Fraud protection, temporal analytics, data recovery, ...
  - Command Query Responsibility Segregation (CQRS):
    - Events describe what happened (= facts) not their implications.
    - Allows consumers to read/interpret events differently (= different views)
      - > Multi-tenant systems, system evolution, data analytics, ...
- Disadvantages:
  - Non-standing reads are slow (need to scan and interpret the entire event history).
  - Deleting data means declaring it deleted (actually deleting data is hard). Slide 44

Overview Stream Processing



#### Transmitting Event Streams

#### Databases and Streams

## Processing Streams



#### Distributed Data Management

Stream Processing

#### Complex Event Processing (CEP)

- "Check a stream for patterns; whenever something special happens, raise a flag."
- Similar to pattern matching with regular expressions (often SQL-dialects)
- Implementations: Esper, IBM InfoSphere, Apama, TIBICO StreamBase, SQLstream

#### Stream Analytics

- "Transform or aggregate a stream; continuously output current results."
- Often uses statistical metrics and probabilistic algorithms:
  - Bloom filters (set membership)
  - HyperLogLog (cardinality estimation)
  - HDHistogram, t-digest, decay (percentile approximation)
- Implementations: Storm, Flink, Spark Streaming, Concord, Samza, Kafka Streams, Google Cloud Dataflow, Azure Stream Analytics

Approximation is often used for optimization, but Stream Processing is **not** inherently approximate!

Bounded memory consumption

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Processing Streams Scenarios



## Processing Streams Scenarios

Stream = Database (using log compaction etc.) Usually consider entire stream, i.e., no window!



#### Maintaining Materialized Views

- "Serve materialized views with up-to-date data from a stream."
- Views are also caches, search indexes, data warehouses, and any derived data system
- Implementations: Samza, Kafka Streams (but also works with Flink, Spark, and co.)

#### Search on Streams

- "Search for events in the stream; emit any event that matches the query."
- Similar to CEP but the standing queries are indexed, less complex, and more in number
- Implementations: Elasticsearch

#### Message Passing

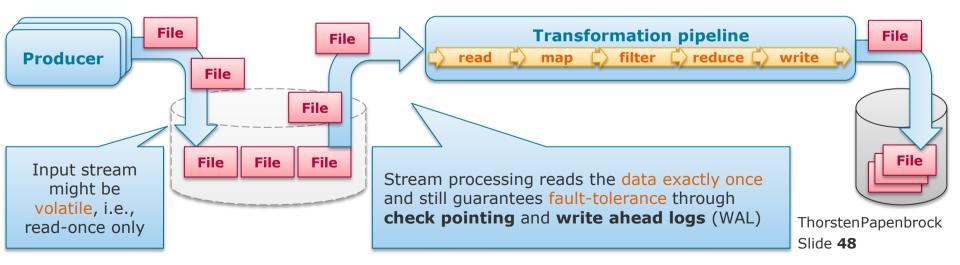
- "Use the stream for event communication; actors/processes consume and produce events."
- Requires non-blocking one-to-many communication
- Implementations: Any message broker; RPC systems with one-to-many support





#### Batched Stream Processing

- Reasons:
  - Incremental processing: start processing data that is still being written to
  - Latency reduction: pipeline data to maximizing resource utilization



## Processing Streams Examples





| Spark Streaming (Recap)   | Streaming input sources:<br>Files text, csv, json, parquet<br>Kafka Apache Kafka message broker<br>Socket UTF8 text data from a socket |  |  |
|---|--|--|--|
| val articles = spark<br>.read   | val articles = spark<br>readStream   |  |  |
| .text("/mnt/data/articles/*.csv")                                     | .text("/mnt/data/articles/*.csv")  |  |  |
| <pre>val words = articles.as[String].flatMap(split(" "))</pre>        | <pre>val words = articles.as[String].flatMap(split(" "))</pre>   |  |  |
| <pre>val urls = words.filter(startsWith("http"))</pre>                | <pre>val urls = words.filter(startsWith("http"))</pre>   |  |  |
| <b>val</b> occurrences = urls.groupBy("value").count()                | <pre>val occurrences = urls.groupBy("value").count()</pre>   |  |  |
| occurrences.show()  | <pre>val query = occurrences.writeStream .outputMode("complete")</pre>   |  |  |
| "complete" write the entire result for<br>every result update         | .format("console")<br>.start() Streaming output sinks:<br>Files "parquet", "orc", "json", "csv", etc.                                  |  |  |
| "append" append new results;  | query.awaitTermination() Kafka "kafka" pointing to a Kafka topic<br>Foreach .foreach()   |  |  |
| "update" old results should not change<br>output only changed results | Console "console"<br>Memory "memory" with .queryName("")   |  |  |

Processing Streams **Examples** 





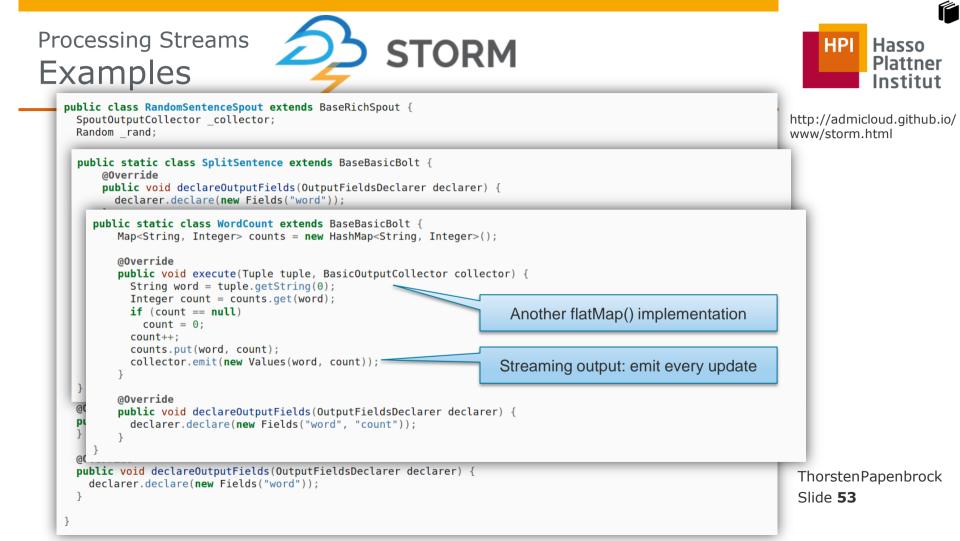
#### Storm

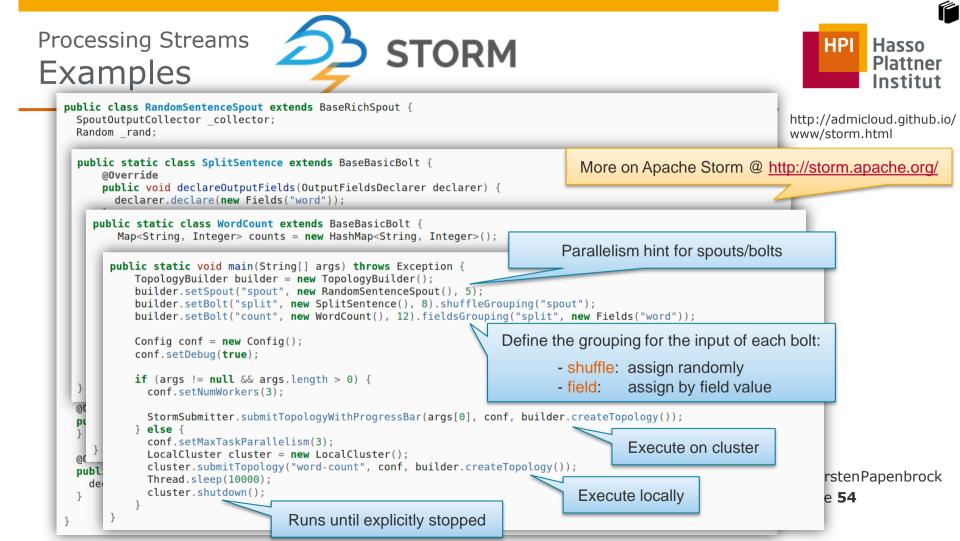
- A free and open source distributed real-time computation system (stream processor)
- Competes with Apache Flink in stream processing speed
- Creates a directed acyclic graph (DAG) of "spout" and "bolt" vertices
  - Spout = streaming data source
  - Bolt = data transformation operator
- Designed for:
  - real-time analytics
  - online machine learning
  - continuous computation
  - distributed RPC
  - ETL

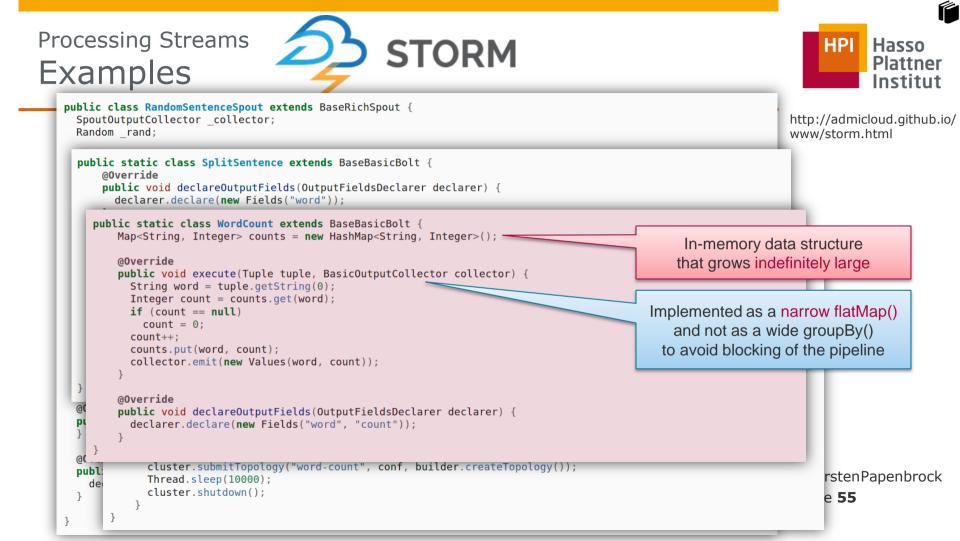
- Guarantees:
  - scalability
  - fault-tolerance
  - "best effort", "at least once", and "exactly once" processing capabilities The stide
  - ease to set up and operate











## Processing Streams Challenges and Limits

#### Goal

- Query and analyze streaming data in real-time (i.e. as data passes by).
   Challenges
- Limited memory resources (but endlessly large volumes of data)
  - Only a fixed-size window of the stream is accessible at a time.
- Old data is permanently gone (and not accessible any more)
  - Only one-pass algorithms can be used.
- Endlessness contradicts certain operations
  - E.g. sorting makes no sense, i.e., no sort-merge-joins or groupings (on the entire stream!).
- Input cannot be re-read or easily back-traced
  - Fault tolerance must be ensured differently.

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Stream Processing



# Window

At the heart of processing infinite streams, as they let us make exact statements

for concrete sub-sequences

## **Processing Streams** Concepts

#### Windows

- A continuous segment of the stream usually implemented as a buffer
  - New events oust the oldest events from the window.
- Events within the window can be accessed arbitrarily often.
- Bounded in size usually using a time interval or a maximum number of events



While sliding over the events, successive windows may or may not overlap

> **Distributed Data** Management

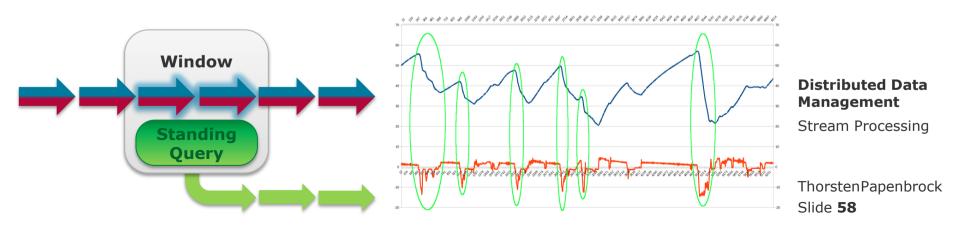
Stream Processing

# Processing Streams Concepts



#### Standing queries

- Persisted queries that are served with volatile event data (reversed DBMS principle)
- Produce a streaming output of "complex events"
- Apply event checking, pattern matching, correlation analysis, aggregation, ...
- Operate on windows



## Processing Streams Windows

Tumbling Windows

- Fixed-length, non-overlapping windows
  - $\rightarrow$  New window starts when previous window ended (e.g. successive intervals of 3 seconds or 100 events)

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#### Hopping Windows

- Fixed-length, overlapping windows with fix steps
  - $\rightarrow$  Defined by window length and hop width (e.g. intervals of 3 seconds starting every 2 seconds)

File-based micro-batching!

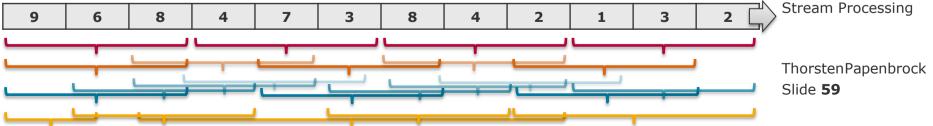
#### Sliding Windows

- Fixed-length, overlapping windows with event dependent steps
  - $\rightarrow$  Either new events oust old events or events stay for a certain amount of time

#### Session Windows

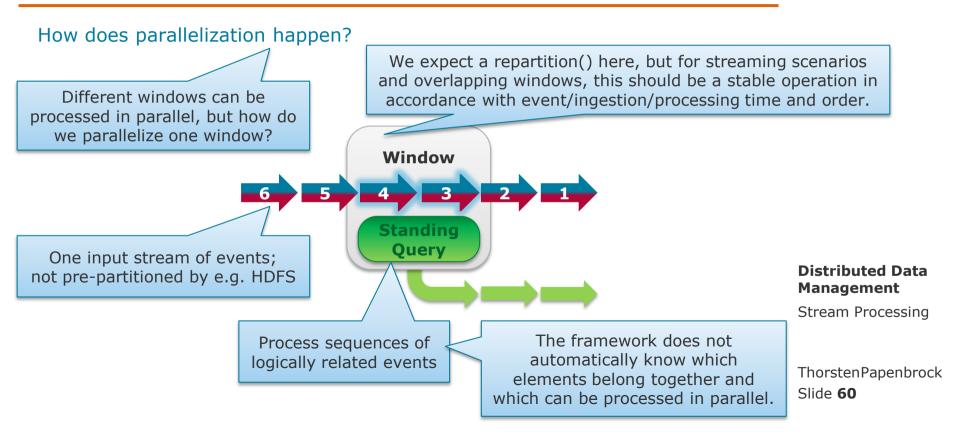
Arbitrary-length, overlapping windows

 $\rightarrow$  Fix start- and end-event (e.g. user logs in; user logs out or session times out)



## Processing Streams Windows and Parallelization

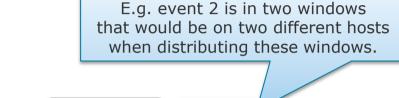




## Processing Streams Windows and Parallelization

#### Non-Keyed Windows

- Partition a stream into another stream of buckets
- For parallel processing, events need to be replicated (not supported by all streaming frameworks)
  - Usually no parallelization without keying



3

#### **Keyed Windows**

- Partition a stream into multiple other streams of buckets (one per key value)
- Output streams can naturally be processed in parallel without replication
  - Default stream parallelization technique





#### Distributed Data Management

Stream Processing



## Processing Streams Windows and Parallelization



#### **Non-Keyed Windows**

#### stream

.windowAll(...)

[.trigger(...)] [.evictor(...)]

- <- required: "assigner"
- <- optional: "trigger" (else default trigger)
- <- optional: "evictor" (else no evictor) <- optional: "lateness" (else zero)
- [.allowedLateness(...)]
- [.sideOutputLateData(...)] <- optional: "output tag" (else no side output for late data)
- <- required: "function" .reduce/aggregate/fold/apply()

[.getSideOutput(...)]

<- optional: "output tag"





#### Keyed Windows

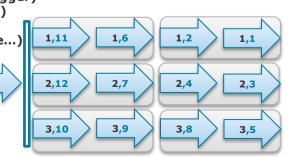
#### stream

- .keyBy(...)
- .window(...)
- [.trigger(...)]
- [.evictor(...)]
- [.allowedLateness(...)]

- .reduce/aggregate/fold/apply()

- <- keyed versus non-keyed windows
- <- required: "assigner"
- <- optional: "trigger" (else default trigger)
- <- optional: "evictor" (else no evictor)
- <- optional: "lateness" (else zero)
- [.sideOutputLateData(...)] <- optional: "output tag" (else no side...)
- [.getSideOutput(...)]
- <- required: "function" <- optional: "output tag"

https://ci.apache.org/projects/flink/flink-docsstable/dev/stream/operators/windows.html#triggers



#### **Distributed Data** Management

Stream Processing

## Processing Streams Examples





#### Flink Get the execution environment val env = StreamExecutionEnvironment.getExecutionEnvironment\_ val text = env.socketTextStream("localhost", 4242, '\n') Get input data by connecting to the socket Parse the data, map the words, and group them val windowCounts = text .flatMap { $w \Rightarrow w.split("\s")$ } .map { w => WordWithCount(w, 1) } Define a sliding window of size 5 seconds that slides every 1 second .keyBy("word") .timeWindow(Time.seconds(5), Time.seconds(1)) Aggregate the counts per window .sum("count") windowCounts.print().setParallelism(1)-Print the results with a single thread, rather than in parallel env.execute("Socket Window WordCount") case class WordWithCount(word: String, count: Long) ThorstenPapenbrock Slide 63 More on Apache Flink @ https://flink.apache.org/

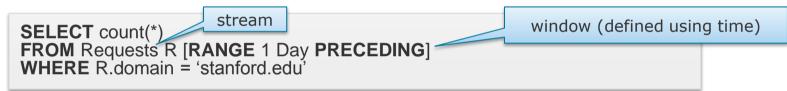
## Processing Streams Examples



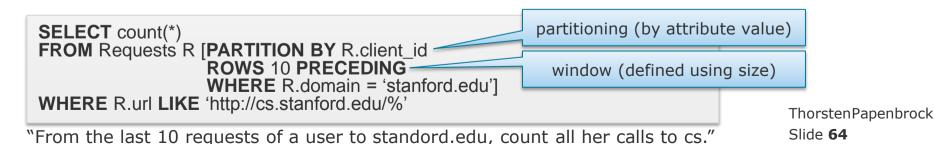


#### Continuous Query Language

- Developed at Stanford University: <u>http://www-db.stanford.edu/stream</u>
- Used to define standing queries for windows of a stream



"Count the number of requests to stanford.edu for the last 1 day."



## Processing Streams Events and Time

#### **Event Time**

Creation time of the event on the producer (when it occurred)

#### **Ingestion** Time

Arrival time of the event at the stream processor (when it was received)

#### Processing Time

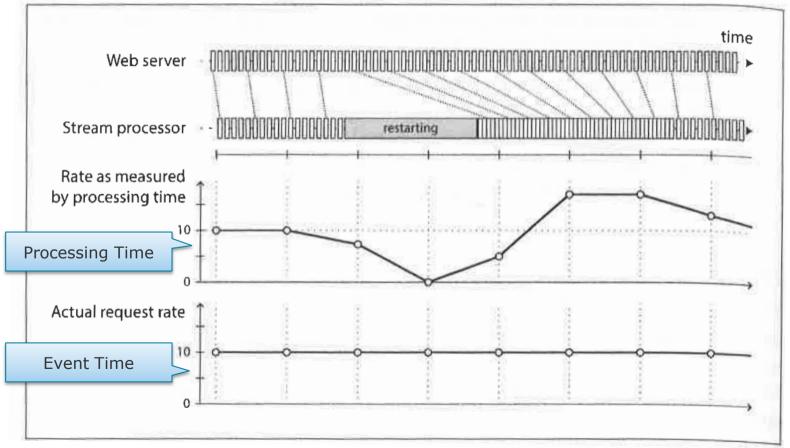
Operation time of the event on the stream processor (when it had an effect)

Stream processors (e.g. Flink) let you choose which time to use for windowing! Distributed Data Management

Stream Processing



## Processing Streams Event Time vs. Processing Time



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**Distributed Data Management** Stream Processing

## Processing Streams Events and Time

Event Time

- Creation time of the event on the producer (when it occurred)
- Ingestion Time
- Arrival time of the event at the stream processor (when it was received)
   Processing Time
- Operation time of the event on the stream processor (when it had an effect)

#### Unpredictable Time Lag

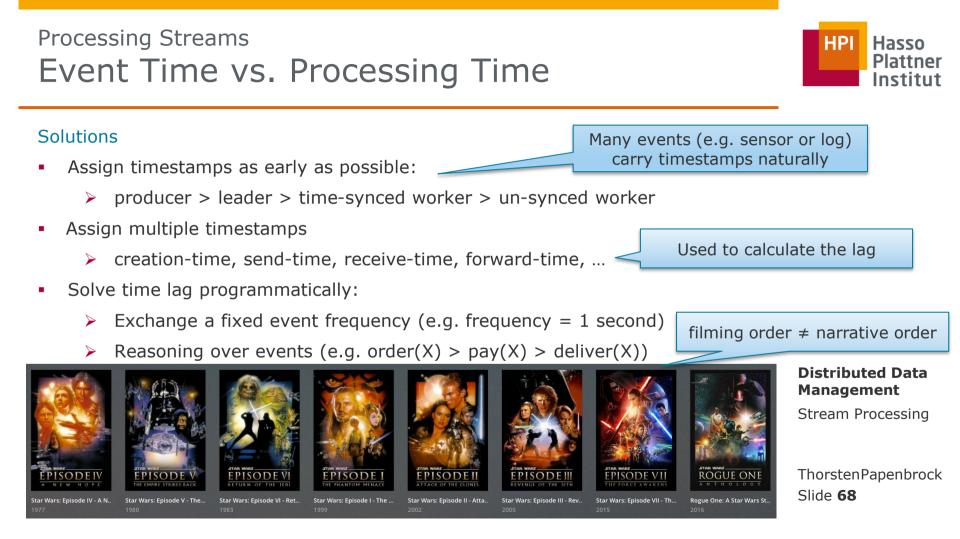
- Events might be delayed due to ...
  - congestion, queuing, faults, ...
- Events might be out-of-order due to ...
  - message loss and resend, alternative routing, ...
- Event time might be measured differently due to ...
  - multiple clocks in distributed systems, clock skew and correction, ...

Recall lecture on "Distributed Systems"

#### Distributed Data Management

Stream Processing





## Processing Streams Completing a Window

#### Problem

 How does a stream worker know that all events for a certain window have arrived? (as events might be delayed → straggler events)

#### Solution

- Declare a window as completed if ...
  - a) the first event for next window arrives or
  - b) a timeout for this window has elapsed.
- Handle straggler events after completion of their window by ...
  - a) ignoring them (maybe counting/reporting ignored stragglers) or
  - b) publishing an update for their window or
  - c) assigning them to the next window.



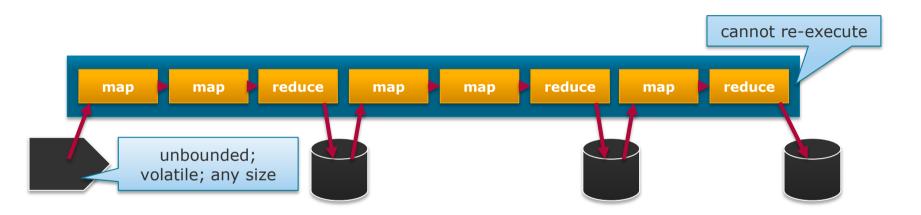
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## Processing Streams Fault Tolerance





#### Issues

- Unbounded:
  - > Jobs cannot wait making their output visible until their stream finishes
- Volatile:
  - > If a fault occurs, stream data cannot be re-read

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Stream Processing

## Processing Streams Fault Tolerance



#### Microbatching and Checkpointing

- Microbatches (see Spark):
  - Tumbling windows that are treated as batches (cached, checkpointed, ...).
  - Windows represent state that is written to disk and serves to recover from faults.
- Checkpoints (see Flink):
  - Rolling checkpoints that are triggered periodically by barriers in the event stream.
  - Operator state is written to disk and serves to recover from faults.
  - Checkpoints are not tied to particular window sizes.
- Both strategies ensure that every event is processed.
  - No event is lost until it produced some output.
- Still problematic:
  - Actions that recover from faults might produced redundant outputs to external event sinks (databases, message brokers, HDFS, ...).

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|----------------------|-----|
| Management           |     |

Stream Processing

## Processing Streams Fault Tolerance



#### Atomic Commit (revisited)

- Avoid **redundant outputs** using a commit protocol in conjunction with every event sink.
- Commits are logged, which helps to check whether an output happened before.
- Single event commits are cheaper than transaction commits.
- Still a research area with only a few systems supporting it:
  - Google Cloud Dataflow, VoltDB, Kafka (in development)

#### Idempotence

- Avoid redundant output effects using only idempotent output operations.
- Idempotent operation = operation that has the same effect regardless how often it is applied.
- Examples (multiple calls always replace the existing data with itself):
  - Set key to value; Create file with name; Delete resource; Overwrite content with text
- Many non-idempotent operations can be made idempotent:
  - Add an offset/identifier to each output event that identifies redundancy.

## Processing Streams Joins



#### Stream-Stream Join

- Task: Join events in stream A with events in stream B.
- Problem: Joins require all events of one side to be randomly accessible, but stream is endless.
- Solution: Window Joins
  - One side of the join is kept in memory as a window (e.g. session window of logged-in users).
  - The other side of the join is probed against the events of that window (e.g. request events to an API).
  - Straggler events are dropped.

#### Stream-Table Join

- Task: Join events in a stream with events in a database.
- Problem: Database is too large for memory and too slow for stream checks.
- Solution: Database Partitioning/Replication
  - Forward the stream to different partitions/replica that perform different parts of the join.

#### Distributed Data Management

Stream Processing

ThorstenPapenbrock

Slide 73

## Processing Streams Further Reading

The Dataflow Model: A Practical Approach to Balancing Correctness, Latency, and Cost in Massive-Scale, Unbounded, Out-of-Order Data Processing

Tyler Akidau, Robert Bradshaw, Craig Chambers, Slava Chernyak, Ratael J. Fernández-Moctezuma, Reuven Lax, Sam McVeety, Daniel Mills, Frances Perry, Eric Schmidt, Sam Whittle Cooge

{takidau, robertwb, chambers, chernyak, rfernand, relax, sgmc, millsd, fjp, cloude, samuelw}@google.com

#### ABSTRACT

Unbounded, unordered, global-scale datasets are increasingly common in day-to-day business (e.g. Web logs, mobile usage statistics, and sensor networks). At the same time, consumers of the datasets have evolved sophisticated requirements, such as event-time cedering and windowing by fastures of the data themselves; in addition to an instaliable larger for faster answers. Meanwhile, practically dictates that one can never fully ophimic solong all dimensions of cosstalt, data processing practitioners are left with the quandary of how to reconclude the tensions between, these seemingly competing propositions, often resulting in disparate implementations and systems.

We propose that a fundamental shift of appreach is necsoary to doal with these evolved requirements in modern data processing. We as a field must stop trying to groom unbounded datasets into finite pools of information that eventually become complete, and instead live and breathe under assumption that we will next know if or when we have seen all of our data, only that new data will arrive, did data that have been apprecised as a strategies of the starturation of the strategies of the strategies of the strategies of turnshift is via gravity and the strategies of the strategies of interest: correctness, latency, and cost.

In this paper, we present one such approach, the Dataflow Model<sup>1</sup>, along with a detailed examination of the semantics it enables, an overview of the core principles that guided its design, and a validation of the model itself via the real-world experiences that led to its development.

<sup>1</sup>We use the term "Dataflow Model" to describe the processing model of Google Cloud Dataflow [20], which is based upon technology from FlumeJava [12] and MillWheel [2].

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Proceedings of the VLDB Endowment, Vol. 8, No. 12 Copyright 2015 VLDB Endowment 2150-8097/15/08.

#### 1. INTRODUCTION

Modern data processing is a complex and exciting field. From the scale enabled by Mapflecher (Bi and its successers (e.g. Kalosen [4], Pig [18], Hire [29], Spark [20]), to the vast body of work on retensming within the SQL community (e.g. body of the SQL enables of the SQL community (e.g. time domains [26], semantic models [20]), to the more recent (rows) in low-factore processing, such as Spark Streaming [34], MiWheel, and Storm [5], modern communers of data wield remarkides amounts of power in alaping and taming massive-scale disorder into organized structures with far short in a number of common use cases. 44

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Consider an initial example: a streaming video provider wants to monetize their context by displaying video ads and billing advertisers for the amount of advertising watched. The platform supports online and offline views for content and ads. The video provider wants to know how much to bill each advertiser each day, as well as aggregate attaities about the videos and ads. In addition, they want to efficiently run offline experiments over large swaths of historical data.

Advertises/content provides want to know how often and for how long their videos are being watched, with which content/ads, and by which demographic groups. They also want to know how much they are being charged paid. They want all of this information as quickly as possible, so that they can adjust budgets and black, change targeting, treak campaigne, and plan future directions in as close to rail time as possible. Since money is involved, correctness is

Though data processing systems are complex by nature, the video provider wants a programming model that is simple and flexible. And finally, since the Internet has so greatly expanded the reach of any business that can be parceled along its backbone, they also require a system that can handle the diasone of global scale data.

The information that must be calculated for such a use case is essentially the time and length of each video viewing, who viewed it, and with which ad or content it was paired (i.e. per-user, per-video viewing sessions). Conceptually this is straightforward, yet existing models and systems all fall short of meeting the stated requirements.

Batch systems such as MapReduce (and its Hadoop variants, including Pig and Hive), FlumeJava, and Spark suffer T. Akidau, R. Bradshaw, C. Chambers, S. Chernyak, R. J. Fernández-Moctezuma, R. Lax, S. McVeety, D. Mills, F. Perry, E. Schmidt, and S. Whittle. *The dataflow model: a practical approach to balancing correctness, latency, and cost in massivescale, unbounded, out-of-order data processing*. Proceedings of the VLDB Endowment 8, 12 (August 2015), 1792-1803. DOI=http://dx.doi.org/10.14778/2824032.2824076

|                                       |   | https://ci.an  | ache.org/projects/                    |
|---------------------------------------|---|--|---------------------------------------|
|                                       |   |  |                                       |
| Flink v1.6                            |   | Apache Flink Documentation   | cs-release-1.6/                       |
| Home                                  |   | This documentation is for Apache Filnk version 1.6. These pages were built at: 01/16/19, 02:01:50 AM UTC.  |                                       |
| Concepts<br>Quickstart                | • | Apache Flink is an open source platform for distributed stream and batch data processing. Flink's core is a streaming dataflow engine<br>that provides data distribution, communication, and fault tolerance for distributed computations over data streams. Flink builds batch<br>processing on top of the streaming engine, overlaying native iteration support, managed memory, and program optimization. |                                       |
| Examples                              | • | First Steps  |                                       |
| Project Setup Application Development | • | <ul> <li>Concepts: Start with the basic concepts of Fink's Dataflow Programming Model and Distributed Runtime Environment. This will<br/>help you understand other parts of the documentation, including the setup and programming guides. We recommend you read<br/>these sections first.</li> </ul>  |                                       |
| Deployment & Operations               | - | Quickstarts: Run an example program on your local machine or study some examples.  |                                       |
| Debugging & Monitoring                | • | Programming Guides: You can read our guides about basic API concepts and the DataStream API or the DataSet API to learn how to write your first Flink programs.  |                                       |
| Internals                             | - |  |                                       |
| Javadocs                              |   | Deployment   | Distributed Data                      |
| Scaladocs                             |   | Before putting your Flink job into production, read the Production Readiness Checklist.  | Management                            |
| Project Page                          |   |  | · · · · · · · · · · · · · · · · · · · |
|                                       |   | Release Notes  | Stream Processing                     |
| Search                                |   | Release notes cover important changes between Flink versions. Please carefully read these notes if you plan to upgrade your Flink<br>setup to a later version.   | J                                     |
|                                       |   | Release notes for Flink 1.6.     Release notes for Flink 1.5.  |                                       |
| Pick Docs Version -                   |   |  |                                       |
|                                       |   | External Resources   | ThorstenPapenbrock                    |
|                                       |   | <ul> <li>Flink Forward: Talks from past conferences are available at the Flink Forward website and on YouTube. Robust Stream Processing with Apache Flink is a good place to start.</li> </ul>   | Slide 74                              |
|                                       |   | • Training: The training materials from data Artisans include slides, exercises, and sample solutions.   |                                       |
|                                       |   | Blogs: The Apache Flink and data Artisans blogs publish frequent, in-depth technical articles about Flink.   |                                       |

## Processing Streams Further Reading

#### https://www.oreilly.com/ideas/theworld-beyond-batch-streaming-101



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# Streaming Systems

THE WHAT, WHERE, WHEN, AND HOW OF LARGE-SCALE DATA PROCESSING

> Tyler Akidau, Slava Chernyak & Reuven Lax

### Streaming 101: The world beyond batch

A high-level tour of modern data-processing concepts.

By Tyler Akidau. August 5, 2015

The call for proposals is now open for the Strata Data Conference in London, April 29-May 2, 2019.

Editor's note: This is the first post in a two-part series about the evolution of data processing, with a focus on streaming systems, unbounded data sets, and the future of big data. <u>See part</u> two. Also, check out "Streaming Systems," by Tyler Akidau, Slava Chernyak, and Reuven Lax.

Streaming data processing is a big deal in big data these days, and for good reasons. Amongst them:

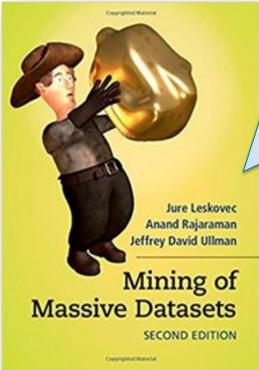
- Businesses crave ever more timely data, and switching to streaming is a good way to achieve lower latency.
- The massive, unbounded data sets that are increasingly common in modern business are more easily tamed using a system designed for such never-ending volumes of data.
- Processing data as they arrive spreads workloads out more evenly over time, yielding more consistent and predictable consumption of resources.

Despite this business-driven surge of interest in streaming, the majority of streaming systems in existence remain relatively immature compared to their batch brethren, which has resulted in a lot of exciting, active development in the space recently.



Three women wading in a stream gathering leeches (source: Wellcome Library, London)

## Processing Streams Further Reading



#### 1. Data Mining 2. Large-Scale File Systems and Map-Reduce 3. Finding Similar Items 4. Mining Data Streams Sampling and Filtering Counting and Aggregation Estimation • **Decaying Windows** 5. Link Analysis 6. Frequent Itemsets 7. Clustering 8. Advertising on the Web 9. Recommendation Systems



Distributed Data Management

Stream Processing

## Stream Processing Check yourself



Given is a stream of elements  $e_1, ..., e_n$ . The task is to select a random sample of k elements (k <= n) from the stream, where each element of the stream should have the same probability to be sampled. The size of the stream is not known in advance.

Give an algorithm that solves this problem with O(k) memory and show that each element has the same probability to be sampled.

Distributed Data Management

Stream Processing

Tobias Bleifuß Slide **77** 

## Homework Log Data Analytics

thorsten@tody ~/Desktop \$ head -n 50 access log Aug95 in24.inetnebr.com - - [01/Auq/1995:00:00:01 -0400] "GET /shuttle/missions/sts-68/news/sts-68-mcc-05.txt HTTP/1.0" 200 1839 uplherc.upl.com - - [01/Aug/1995:00:00:07 -0400] "GET / HTTP/1.0" 304 0 uplherc.upl.com - - [01/Aug/1995:00:08 -0400] "GET /images/ksclogo-medium.gif HTTP/1.0" 304 0 uplherc.upl.com - - [01/Aug/1995:00:00:08 -0400] "GET /images/MOSAIC-logosmall.gif HTTP/1.0" 304 0 uplherc.upl.com - - [01/Aug/1995:00:00:08 -0400] "GET /images/USA-logosmall.gif HTTP/1.0" 304 0 ix-esc-ca2-07.ix.netcom.com - - [01/Aug/1995:00:00:09 -0400] "GET /images/launch-logo.gif HTTP/1.0" 200 1713 uplherc.upl.com - - [01/Aug/1995:00:00:10 -0400] "GET /images/WORLD-logosmall.gif HTTP/1.0" 304 0 slppp6.intermind.net - - [01/Aug/1995:00:00:10 -0400] "GET /history/skylab/skylab.html HTTP/1.0" 200 1687 piweba4y.prodigy.com - - [01/Aug/1995:00:00:10 -0400] "GET /images/launchmedium.gif HTTP/1.0" 200 11853 slppp6.intermind.net - - [01/Aug/1995:00:00:11 -0400] "GET /history/skylab/skylab-small.gif HTTP/1.0" 200 9202 slppp6.intermind.net - - [01/Aug/1995:00:00:12 -0400] "GET /images/ksclogosmall.gif HTTP/1.0" 200 3635 <u>ix-esc-ca2-07.ix.netcom.com - [01/Aug/1995:00:00:12 -0400]</u> "GET /history/apollo/images/apollo-logo1.gif HTTP/1.0" 200 1173 slppp6.intermind.net - - [01/Aug/1995:00:00:13 -0400] "GET /history/apollo/images/apollo-logo.gif HTTP/1.0" 200 3047 uplherc.upl.com - - [01/Aug/1995:00:00:14 -0400] "GET /images/NASA-logosmall.gif HTTP/1.0" 304 0 133.43.96.45 - [01/Aug/1995:00:00:16 -0400] "GET /shuttle/missions/sts-69/mission-sts-69.html HTTP/1.0" 200 10566 kgtyk4.kj.yamagata-u.ac.jp - - [01/Aug/1995:00:00:17 -0400] "GET / HTTP/1.0" 200 7280 kgtyk4.kj.yamagata-u.ac.jp - - [01/Aug/1995:00:00:18 -0400] "GET /images/ksclogo-medium.gif HTTP/1.0" 200 5866 dðucr6.fnal.gov - - [01/Aug/1995:00:00:19 -0400] "GET /history/apollo/apollo-16/apollo-16.html HTTP/1.0" 200 2743 ix-esc-ca2-07.ix.netcom.com - - [01/Aug/1995:00:00:19 -0400] "GET /shuttle/resources/orbiters/discovery.html HTTP/1.0" 200 6849 d0ucr6.fnal.gov - - [01/Aug/1995:00:00:20 -0400] "GET /history/apollo/apollo-16/apollo-16-patch-small.gif HTTP/1.0" 200 14897 kgtyk4.kj.yamagata-u.ac.jp - - [01/Aug/1995:00:00:21 -0400] "GET /images/NASA-logosmall.gif HTTP/1.0" 304 0 kgtyk4.ki.yamagata-u.ac.jp - - [01/Aug/1995:00:00:21 -0400] "GET /images/MOSAIC-logosmall.gif HTTP/1.0" 304 0 kgtyk4.kj.yamagata-u.ac.jp - - [01/Aug/1995:00:00:22 -0400] "GET /images/USA-logosmall.gif HTTP/1.0" 304 0 kgtyk4.kj.yamagata-u.ac.jp - - [01/Aug/1995:00:00:22 -0400] "GET /images/WORLD-logosmall.gif HTTP/1.0" 304 0 133.43.96.45 - - [01/Aug/1995:00:00:22 -0400] "GET /images/KSC-logosmall.gif HTTP/1.0" 200 1204 133.43.96.45 - - [01/Aug/1995:00:00:23 -0400] "GET /shuttle/missions/sts-69/sts-69-patch-small.gif HTTP/1.0" 200 8083 133.43.96.45 - - [01/Aug/1995:00:00:23 -0400] "GET /images/launch-logo.gif HTTP/1.0" 200 1713 www-c8.proxy.aol.com - - [01/Aug/1995:00:00:24 -0400] "GET /shuttle/countdown/ HTTP/1.0" 200 4324 133.43.96.45 - - [01/Auq/1995:00:00:25 -0400] "GET /history/apollo/images/apollo-logol.gif HTTP/1.0" 200 1173 ix-esc-ca2-07.ix.netcom.com - - [01/Aug/1995:00:00:25 -0400] "GET /shuttle/resources/orbiters/discovery-logo.gif HTTP/1.0" 200 4179 piweba4y.prodigy.com - - [01/Aug/1995:00:00:32 -0400] "GET /images/NASA-logosmall.gif HTTP/1.0" 200 786 slppp6.intermind.net - - [01/Aug/1995:00:00:32 -0400] "GET /history/skylab/skylab-1.html HTTP/1.0" 200 1659 ix-esc-ca2-07.ix.netcom.com - - [01/Aug/1995:00:00:34 -0400] "GET /images/ksclogosmall.gif HTTP/1.0" 200 3635 in24.inetnebr.com - - [01/Auq/1995:00:00:34 -0400] "GET /shuttle/missions/sts-68/news/sts-68-mcc-06.txt HTTP/1.0" 200 2303 slppp6.intermind.net - - [01/Aug/1995:00:00:39 -0400] "GET /history/skylab/skylab-logo.gif HTTP/1.0" 200 3274 ix-esc-ca2-07.ix.netcom.com - - [01/Aug/1995:00:00:39 -0400] "GET /shuttle/resources/orbiters/orbiters-logo.gif HTTP/1.0" 200 1932 uplherc.upl.com - - [01/Aug/1995:00:00:43 -0400] "GET /shuttle/missions/sts-71/mission-sts-71.html HTTP/1.0" 200 13450 uplherc.upl.com - - [01/Aug/1995:00:00:44 -0400] "GET /shuttle/missions/sts-71/sts-71-patch-small.gif HTTP/1.0" 200 12054 uplherc.upl.com - - [01/Aug/1995:00:00:45 -0400] "GET /images/KSC-logosmall.gif HTTP/1.0" 200 1204

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#### Distributed Data Management

Stream Processing

## Homework Log Data Analytics

#### Assignment

- Task
  - Data Exploration: Find interesting insights in a log stream, such as
    - the 90<sup>th</sup> percentile response size
    - average number of requests per hour
    - most popular clients and resources
  - Don't break the memory!
- Dataset
  - Two month's worth of all HTTP requests to the NASA Kennedy Space Center WWW server in Florida: <u>http://ita.ee.lbl.gov/html/contrib/NASA-HTTP.html</u>
- Parameter
  - "java -jar YourAlgorithmName.jar --path access\_log\_Aug95 --cores 4"
  - Default path should be "./access\_log\_Aug95" and default cores 4



Stream Processing



Homework Inclusion Dependency Discovery - Rules

#### Assignment

- Expected output
  - Write your discoveries (text + value) to the console
  - Use the following style for your output:
    - <text> : <value>
      - Example output:

90th percentile response size : 7265 average number of requests per hour : 233 most popular client : www.hpi.de most popular resource : www.hpi.de/DDM

Distributed Data Management

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Institut

Stream Processing

Homework Inclusion Dependency Discovery - Rules

Assignment

- Submission deadline
  - 27.01.2019 23:59:59
- Submission channel
  - ftp-share that we make available via email
- Submission artifacts
  - Source code as zip (Maven project; Java or Scala)
  - Jar file as zip (fat-jar)
  - a slide with your transformation pipeline(s)
- Teams
  - Please solve the homework in teams of two students
  - Provide the names of both students in your submission (= folder name)





#### FastFlinkStreams: Transformation Pipeline

Unique

Visitors

Aggregate Result Events

Write to Console

Server Errors

Average

Requests per

Dav

Parse

Log

Corrupted Loa

Entries

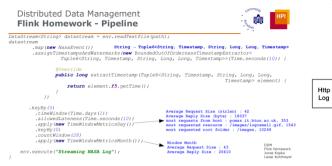


Suspicious

Hosts

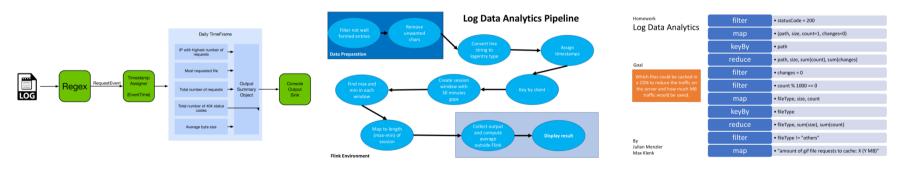
- text = readTextFile
- .flatMap over lines in text:
  - a. check if regex matches on line
  - b. return matched groups as tuple
- .countWindowAll(100000)
  - a. split into 100k chunks
- .process
  - a. Turn current chunk into list
  - b. Perform individual analysis
    - i. Group by HTTP status, find count of 200 and non-200
    - ii. Group by clients, find most common client
      - 1. Group paths for this client, find most common path
    - iii. Group by path, sum sizes to find path with max traffic usage

#### Team: Most Metrics (Size Window)



#### Team: Most Metrics (Time Window)

#### Team: Disc Writing (Time Window)



Team: Output Summary (Time Window)

#### Team: Client Analytics (Keyed Session Window)

Team: Nice Use Case (Keyed Window)

