## Language Models



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**• Language models** answer the question:

#### How likely is a string of English words good English?

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• Help with reordering

 $p_{LM}$ (the house is small) >  $p_{LM}$ (small the is house)

• Help with word choice

 $p_{LM}$ (I am going home) >  $p_{LM}$ (I am going house)

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- Given: a string of English words  $W = w_1, w_2, w_3, ..., w_n$
- Question: what is  $p(W)$ ?

 $\bullet$  We collect large amount of text and count how often W occurs to estimate  $p(W)$ 

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- Sparse data: Many good English sentences will not have been seen before
- Decomposing  $p(W)$  using the chain rule:

 $p(w_1, w_2, w_3, ..., w_n) = p(w_1) p(w_2|w_1) p(w_3|w_1, w_2)...p(w_n|w_1, ...w_{n-1})$ 

(not much gained yet,  $p(w_n|w_1, w_2, ... w_{n-1})$  is equally sparse)

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#### • Markov assumption:

- only previous history matters
- $\bullet$  limited memory: only last  $k$  words are included in history (older words less relevant)
- $\rightarrow$  kth order Markov model

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• For instance 2-gram language model:

 $p(w_1, w_2, w_3, ..., w_n) \simeq p(w_1) p(w_2|w_1) p(w_3|w_2)...p(w_n|w_{n-1})$ 

 $\bullet$  What is conditioned on, here  $w_{i-1}$  is called the **history** 

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• More training data allows for longer histories (higher kth).

- Most commonly, trigram (3-grams) models are used.
- But bigrams (2-grams), unigrams (single words) or any other order of n-grams is possible.

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**•** Maximum likelihood estimation

$$
\rho(w_2|w_1) = \frac{\text{count}(w_1, w_2)}{\text{count}(w_1)}
$$

- Collect counts over a large text corpus
- Millions to billions of words are easy to get (trillions of English words available on the web)

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# Example: 3-Gram

#### Counts for trigrams and estimated word probabilities



• 225 trigrams in the Europarl corpus start with the red

- 123 of them end with cross
- $\rightarrow$  maximum likelihood probability is  $\frac{123}{225} = 0.547$ .

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#### How good is the LM?

- $\bullet$  A good model assigns a text of real English W a high probability
- This can be also measured with cross entropy:

$$
H(W) = -\frac{1}{n} \log p(W_1^n)
$$
  
- 
$$
\frac{1}{n} \sum_{i=1}^n \log p(w_i|w_1, w_2, ... w_{i-1})
$$

• Or, perplexity

perplexity( $W$ ) = 2<sup>H(W)</sup>



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# Example: trigrams



#### I would like to commend the rapporteur on his work.

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## Comparison 1–4-Gram



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- We have seen i like to in our corpus
- We have never seen i like to smooth in our corpus
- $\rightarrow$  p(smooth|i like to) = 0

• Any sentence that includes i like to smooth will be assigned probability 0

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For all possible n-grams, add the count of one.

$$
p=\frac{c+1}{n+v}
$$

- $c =$  count of n-gram in corpus
- $n =$  count of history
- $v =$  vocabulary size (total number of possible n-grams)

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- But there are many more unseen n-grams than seen n-grams
- Example: Europarl 2-bigrams:
	- 86, 700 distinct words
	- $86, 700^2 = 7, 516, 890, 000$  possible bigrams
	- but only about 30,000,000 words (and bigrams) in corpus

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 $A \oplus B$   $\rightarrow$   $A \oplus B$   $\rightarrow$   $A \oplus B$   $\rightarrow$ 

• Add  $\alpha$  < 1 to each count

$$
p = \frac{c + \alpha}{n + \alpha v}
$$

- What is a good value for  $\alpha$ ?
- Could be optimized on held-out set

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# Example: 2-Grams in Europarl



- Add- $\alpha$  smoothing with  $\alpha = 0.00017$
- $\bullet$  t<sub>c</sub> are average counts of n-grams in test set that occurred c times in corpus イロト イ部 トイヨ トイヨト  $\equiv$   $\cap$   $\alpha$

- **Estimate true counts in held-out data** 
	- split corpus in two halves: training and held-out
	- counts in training  $C_t(w_1, ..., w_n)$
	- number of n-grams with training count  $r: N_r$
	- total times n-grams of training count r seen in held-out data:  $T_r$

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# Example: Deleted estimation (bigrams)



 $E = 990$ 

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- We can adjust the real counts to these expected counts
	- **•** better estimates for both seen and unseen events
- Both halves can be switched and results combined

$$
r_{del} = \frac{T_r^1 + T_r^2}{N_r^1 + N_r^2}
$$
 where  $r = count(w_1, ..., w_n)$ 

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Adjust actual counts  $r$  to expected counts  $r^*$  with formula

$$
r^* = (r+1)\frac{N_{r+1}}{N_r}
$$

 $\bullet$  N<sub>r</sub> number of n-grams that occur exactly r times in corpus



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# Good-Turing for 2-Grams in Europarl



adjusted count fairly accurate when compared against the test count

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$ 

- In given corpus, we may never observe
	- Scottish beer drinkers
	- Scottish beer eaters
- Both have count 0

 $\rightarrow$  our smoothing methods will assign them the same probability

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# Back-Off

- Better: backoff to bigrams:
	- beer drinkers
	- beer eaters



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- Higher and lower order n-gram models have different strengths and weaknesses
	- high-order n-grams are sensitive to more context, but have sparse counts
	- low-order n-grams consider only very limited context, but have robust counts

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• Combine them

$$
p_1(w_3|w_1, w_2) = \lambda_1 p_1(w_3) + \lambda_2 p_2(w_3|w_2) + \lambda_3 p_3(w_3|w_1, w_2)
$$

 $\sum_n \lambda_n = 1$  $\forall \lambda_n: 0 \leq \lambda_n \leq 1$ 

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- We can trust some histories  $w_{i-n+1}, ..., w_{i-1}$  more than others
- Condition interpolation weights on history:  $\lambda_{w_{i-n+1},...,w_{i-1}}$
- Recursive definition of interpolation

$$
p_n^l(w_i|w_{i-n+1},...,w_{i-1}) = \lambda_{w_{i-n+1},...,w_{i-1}} p_n(w_i|w_{i-n+1},...,w_{i-1}) + + (1-\lambda_{w_{i-n+1},...,w_{i-1}}) p_{n-1}^l(w_i|w_{i-n+2},...,w_{i-1})
$$



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# Back-Off

Trust the highest order language model that contains n-gram

$$
\begin{aligned}\n\binom{BO}{n}(w_i|w_{i-n+1},...,w_{i-1}) &= \\
&= \begin{cases}\n d_n(w_{i-n+1},...,w_{i-1}) & p_n(w_i|w_{i-n+1},...,w_{i-1}) \\
 & \text{if count}_n(w_{i-n+1},...,w_i) > 0 \\
 \alpha_n(w_{i-n+1},...,w_{i-1}) & p_{n-1}^{BO}(w_i|w_{i-n+2},...,w_{i-1}) \\
 & \text{otherwise}\n\end{cases}\n\end{aligned}
$$

• Requires

p

- adjusted prediction model  $\alpha_{\textit{n}}(\textit{w}_i | \textit{w}_{i \textit{n}+1},...,\textit{w}_{i 1})$
- $\bullet$  discounting function  $d_n(w_1, ..., w_{n-1})$



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# Diversity of Predicted Words

• Consider the bigram histories spite and constant

- both occur 993 times in Europarl corpus
- only 9 different words follow spite almost always followed by of (979 times), due to expression in spite of
- 415 different words follow constant most frequent: and (42 times), concern (27 times), pressure (26 times), but huge tail of singletons: 268 different words
- More likely to see new bigram that starts with constant than spite
- Witten-Bell smoothing considers diversity of predicted words

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# Witten-Bell Smoothing

- Recursive interpolation method
- Number of possible extensions of a history  $w_1, ..., w_{n-1}$  in training data

$$
N_{1+}(w_1, ..., w_{n-1}, \bullet) = |\{w_n : c(w_1, ..., w_{n-1}, w_n) > 0\}|
$$

Lambda parameters

$$
1 - \lambda_{w_1, \dots, w_{n-1}} = \frac{N_{1+}(w_1, \dots, w_{n-1}, \bullet)}{N_{1+}(w_1, \dots, w_{n-1}, \bullet) + \sum_{w_n} c(w_1, \dots, w_{n-1}, w_n)}
$$

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## Witten-Bell Smoothing: Examples

Let us apply this to our two examples:

$$
1 - \lambda_{spite} = \frac{N_{1+}(\text{spite}, \bullet)}{N_{1+}(\text{spite}, \bullet) + \sum_{w_n} c(\text{spite}, w_n)}
$$

$$
= \frac{9}{9 + 993} = 0.00898
$$

$$
1 - \lambda_{constant} = \frac{N_{1+}(\text{constant}, \bullet)}{N_{1+}(\text{constant}, \bullet) + \sum_{w_n} c(\text{constant}, w_n)}
$$

$$
= \frac{415}{415 + 993} = 0.29474
$$



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- Consider the word York
	- fairly frequent word in Europarl corpus, occurs 477 times
	- as frequent as foods, indicates and providers
	- $\rightarrow$  in unigram language model: a respectable probability
- However, it almost always directly follows  $New$  (473 times)
- Recall: unigram model only used, if the bigram model inconclusive
	- York unlikely second word in unseen bigram
	- $\bullet$  in back-off unigram model, York should have low probability

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- Kneser-Ney smoothing takes diversity of histories into account
- Count of histories for a word

$$
N_{1+}(\bullet w) = |\{w_i : c(w_i, w) > 0\}|
$$

Recall: maximum likelihood estimation of unigram language model

$$
p_{ML}(w) = \frac{c(w)}{\sum_i c(w_i)}
$$

• In Kneser-Ney smoothing, replace raw counts with count of histories

$$
p_{KN}(w) = \frac{N_{1+}(\bullet w)}{\sum_{w_i} N_{1+}(\bullet w_i)}
$$

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#### Evaluation of smoothing methods:

Perplexity for language models trained on the Europarl corpus



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• Millions to billions of words are easy to get (trillions of English words available on the web)

• But: huge language models do not fit into RAM

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## Number of Unique N-Grams

## Number of unique n-grams in Europarl corpus 29,501,088 tokens (words and punctuation)



 $\rightarrow$  remove singletons of higher order n-grams

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- Language models too large to build
- What needs to be stored in RAM?
	- maximum likelihood estimation

$$
p(w_n|w_1, ..., w_{n-1}) = \frac{\text{count}(w_1, ..., w_n)}{\text{count}(w_1, ..., w_{n-1})}
$$

• can be done separately for each history  $w_1, ..., w_{n-1}$ 

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- Keep data on disk
	- extract all n-grams into files on-disk
	- sort by history on disk
	- o only keep n-grams with shared history in RAM
- Smoothing techniques may require additional statistics

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 $\mathcal{A} \cap \mathbb{P} \rightarrow \mathcal{A} \supseteq \mathcal{A} \rightarrow \mathcal{A} \supseteq \mathcal{A}$ 

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- Need to store probabilities for
	- the very large majority
	- the very large number
- Both share history the very large
- $\rightarrow$  no need to store history twice
- $\rightarrow$  Trie

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## Efficient Data Structures



#### 2-gram backoff



#### 1-gram backoff





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# Reducing Vocabulary Size

- For instance: each number is treated as a separate token
- Replace them with a number token NUM
	- but: we want our language model to prefer

 $p_{LM}$ (I pay 950.00 in May 2007) >  $p_{LM}$ (I pay 2007 in May 950.00)

• not possible with number token

 $p_{LM}$ (I pay num in May num) =  $p_{LM}$ (I pay num in May num)

• Replace each digit (with unique symbol, e.g.,  $\omega$  or 5), retain some distinctions

 $p_{LM}$ (I pay 555.55 in May 5555) >  $p_{LM}$ (I pay 5555 in May 555.55)

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## Filtering Irrelevant N-Grams

- We use language model in decoding
	- we only produce English words in translation options
	- filter language model down to n-grams containing only those words
- Ratio of 5-grams needed to all 5-grams (by sentence length):



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# Summary

- Language models: How likely is a string of English words good English?
- N-gram models (Markov assumption)
- **•** Perplexity
- **o** Count smoothing
	- add-one, add- $\alpha$
	- **o** deleted estimation
	- **Good Turing**
- Interpolation and backoff
	- **Good Turing**
	- **.** Witten-Bell
	- Kneser-Ney
- Managing the size of the model

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 $\mathcal{A} \cap \mathbb{P} \rightarrow \mathcal{A} \supseteq \mathcal{A} \rightarrow \mathcal{A} \supseteq \mathcal{A}$ 

#### Statistical Machine Translation, Philipp Koehn (chapter 7).



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