#### <span id="page-0-0"></span>Phrase-based models



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November 14th, 2016

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• Word-Based Models translate words as atomic units

• Phrase-Based Models translate *phrases* as atomic units

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- Advantages:
	- many-to-many translation can handle non-compositional phrases
	- use of local context in translation
	- the more data, the longer phrases can be learned

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 $\mathcal{A} \oplus \mathcal{B}$  and  $\mathcal{A} \oplus \mathcal{B}$  and  $\mathcal{A} \oplus \mathcal{B}$ 

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### Phrase-Based Model

#### "Standard Model", used by Google Translate and others



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## Phrase-Based Model



- Foreign input is segmented in phrases
- Each phrase is translated into English
- **•** Phrases are reordered

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- Main knowledge source: table with phrase translations and their probabilities
- Example: phrase translations for natuerlich



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## Real Example

• Phrase translations for den Vorschlag learned from the Europarl corpus:



- lexical variation (proposal vs suggestions)
- morphological variation (proposal vs proposals)
- included function words (the,  $a, ...$ )
- noise  $(it)$

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• Model is not limited to linguistic phrases (noun phrases, verb phrases, prepositional phrases, ...)

• fun with the game: fun is a noun phrase and with the game is a prepositional phrase

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• Example non-linguistic phrase pair:

#### spass am  $\rightarrow$  fun with the

- Prior noun often helps with translation of preposition:
	- am is usually translated to on the or at the, but with the is rather unusual.
- Experiments show that limitation to linguistic phrases hurts quality.

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- Words may not be the best atomic units, due to one-to-many mappings (and vice-versa).
- **•** Translating words groups helps to resolve ambiguities.
- It is possible to learn longer and longer phrases based on large training corpora.
- We do not need to deal with the complex notions of fertility, insertion and deletions.

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**•** Bayes rule

 $e_{\text{best}} = \text{argmax}_{e} p(e|f)$  $=$  argmax<sub>e</sub>  $p(f|e)$   $p_{LM}(e)$ 

- translation model  $p(e|f)$
- language model  $p_{LM}(e)$

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- We would like to integrate a language model.
- $\bullet$  We look for the best translation e for the input foreign sentence f.
- Use use Bayes rule to include  $p(e)$ :

$$
\operatorname{argmax}_{e} \rho(e|f) = \operatorname{argmax}_{e} \frac{p(f|e) \rho(e)}{p(f)}
$$

$$
= \operatorname{argmax}_{e} \rho(f|e) \rho(e)
$$

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## Recap Word-based models: Noisy Channel Model



- Applying Bayes rule also called noisy channel model
	- we observe a distorted message R (here: a foreign string  $f$ )
	- we have a model on how the message is distorted (here: translation model)
	- we have a model on what messages are probably (here: language model)
	- we want to recover the original message S (here: an English string e)

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#### • Decomposition of the translation model

$$
p(\bar{f}_1^{\{i\}}|\bar{e}_1^{\{i\}})=\prod_{i=1}^{\{i\}}\phi(\bar{f}_i|\bar{e}_i) d(stat_i-end_{i-1}-1)
$$

- phrase translation probability  $\phi$
- $\bullet$  reordering probability  $d$

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 $\mathcal{A} \cong \mathcal{B} \times \mathcal{A} \cong \mathcal{B}$ 

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• Segmentation is not modeled explicitly and any segmentation is equally likely.

$$
p(\bar{f}_1^{\,l}|\bar{e}_1^{\,l})=\prod_{i=1}^{\,l}\phi(\bar{f}_i|\bar{e}_i)\;d(\mathit{start}_i-\mathit{end}_{i-1}-1)
$$

- Each foreign phrase  $f$  is broken up into I phrases  $\bar{f}_i$ .
- Each foreign sentence  $\bar{f}_i$  is translated into an English sentence  $\bar{e}_i$ .

D.  $\Omega$  • Reordering is relative to the previous phrase:

$$
d(\mathit{start}_i - \mathit{end}_{i-1} - 1)
$$

- $start_i$  is the position of the first word of the foreign phrase that translates to the *i*th English phrase.
- $end_i$  is the position of the last word of that foreign phrase.
- e end<sub>i−1</sub> is the position of the last word of the foreign phrase that translates to the  $(i - 1)$ th English phrase.
- reordering distance is computed as start<sub>i</sub> end<sub>i-1</sub> 1

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The reordering distance is the number of phrases skipped (forward or backward):

 $d(stat_i - end_{i-1} - 1)$ 

 $\bullet$  If two phrases are translated in sequence: start<sub>i</sub> = end<sub>i-1</sub> − 1 (reordering cost  $d(0)$ )

### Distance-Based Reordering





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- Scoring function:  $d(x) = \alpha^{|\mathsf{x}|}$  exponential with distance
- Movements of phrases over large distances are more expensive than short distances or no movement at all.
- $\alpha \in [0,1]$

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• Task: learn the model from a parallel corpus

- Three stages:
	- word alignment: using IBM models or other method
	- extraction of phrase pairs
	- scoring phrase pairs

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 $\mathbf{A} \oplus \mathbf{B}$   $\mathbf{A} \oplus \mathbf{B}$   $\mathbf{A} \oplus \mathbf{B}$ 

## Word Alignment



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### Extracting Phrase Pairs



extract phrase pair consistent with word alignment: assumes that / geht davon aus , dass



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- Phrases can be shorter or longer:
	- Shorter phrases occur frequently and are more often applicable to unseen sentences.
	- Longer phrases capture more local context and can be used to translate large chunks of text at one time.

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## **Consistency**



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## **Consistency**



Phrase pair  $(\bar{e},\bar{f})$  consistent with an alignment  $A$ , if all words  $f_1,...,f_n$  in  $\bar{f}$ that have alignment points in A have these with words  $e_1, ..., e_n$  in  $\overline{e}$  and vice versa:

 $(\bar{e}, \bar{f})$  consistent with  $A \Leftrightarrow$ 

$$
\forall e_i \in \overline{e} : (e_i, f_j) \in A \rightarrow f_j \in \overline{f}
$$
  
AND 
$$
\forall f_j \in \overline{f} : (e_i, f_j) \in A \rightarrow e_i \in \overline{e}
$$
  
AND 
$$
\exists e_i \in \overline{e}, f_j \in \overline{f} : (e_i, f_j) \in A
$$

### Phrase Pair Extraction



unaligned words (here: German comma) lead to multiple translations

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### Larger Phrase Pairs



michael assumes — michael geht davon aus / michael geht davon aus , assumes that — geht davon aus , dass ; assumes that he — geht davon aus , dass

er

that he — dass er  $/$ , dass er ; in the house — im haus michael assumes that — michael geht davon aus , dass michael assumes that he — michael geht davon aus , dass er michael assumes that he will stay in the house — michael geht davon aus , dass er

im haus bleibt

assumes that he will stay in the house — geht davon aus , dass er im haus bleibt that he will stay in the house — dass er im haus bleibt ; dass er im haus bleibt , he will stay in the house — er im haus bleibt ; will stay in the house — im haus bleibt **K ロ ⊁ K 伊 ⊁ K 君 ⊁ K 君 ⊁** 

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- We cannot extract matching German phrases for some English phrases
	- e.g., im is mapped to both in and the

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- We cannot extract matching German phrases for some English phrases
	- e.g., he will stay cannot be mapped to er ... bleibt

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- Unaligned words can lead to multiple matches
	- e.g., the comma can be aligned or not together with dass



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- **•** Some statistics:
	- 9 English words, 10 German words: 11 alignment points
	- 36 English phrases, 45 German phrases: 24 pairs extracted
- The number of extracted phrases can be quadratic in the number of words.
- Limiting the length of the phrases is recommended.

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- Phrase pair extraction: collect all phrase pairs from the data
- Phrase pair scoring: assign probabilities to phrase translations
- Score by relative frequency:

$$
\phi(\bar{f}|\bar{e}) = \frac{\text{count}(\bar{e}, \bar{f})}{\sum_{\bar{f}_i} \text{count}(\bar{e}, \bar{f}_i)}
$$

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#### Described standard model consists of three sub-models:

- phrase translation model  $\phi(\bar{f}|\bar{\mathsf{e}})$
- $\bullet$  reordering model  $d$
- language model  $p_{LM}(e)$

$$
e_{\text{best}} = \text{argmax}_{e} \prod_{i=1}^{I} \phi(\bar{f}_i | \bar{e}_i) \ d(\text{start}_i - \text{end}_{i-1} - 1) \prod_{i=1}^{|\mathbf{e}|} p_{LM}(e_i | e_1 ... e_{i-1})
$$

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$ 

- Some sub-models may be more important than others
- Add weights  $\lambda_{\phi}$ ,  $\lambda_{d}$ ,  $\lambda_{LM}$

$$
e_{\text{best}} = \text{argmax}_{e} \prod_{i=1}^{I} \phi(\bar{f}_i | \bar{e}_i)^{\lambda_{\phi}} d(\text{start}_i - \text{end}_{i-1} - 1)^{\lambda_d} \prod_{i=1}^{|\mathbf{e}|} p_{LM}(e_i | e_1 ... e_{i-1})^{\lambda_{LM}}
$$

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$ 

• Such a weighted model is a log-linear model:

$$
p(x) = \exp \sum_{i=1}^{n} \lambda_i h_i(x)
$$

- **o** Our feature functions
	- number of feature function  $n = 3$
	- random variable  $x = (e, f, start, end)$
	- feature function  $h_1 = \log \phi$
	- feature function  $h_2 = \log d$
	- feature function  $h_3 = \log p_{\text{L}}$

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#### Weighted Model as Log-Linear Model

$$
p(e, a|f) = \exp(\lambda_{\phi} \sum_{i=1}^{I} \log \phi(\overline{f}_i | \overline{e}_i) + \lambda_d \sum_{i=1}^{I} \log d(a_i - b_{i-1} - 1) + \lambda_{LM} \sum_{i=1}^{|\mathbf{e}|} \log p_{LM}(e_i | e_1 ... e_{i-1}))
$$

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- $\bullet$  Situation: A rare long English phrase  $\bar{e}$  gets mapped to a common foreign phrase  $\bar{f}$ .
- Bidirectional alignment probabilities:  $\phi(\bar{e}|\bar{f})$  and  $\phi(\bar{f}|\bar{e})$ .
- A model using both translation directions usually outperforms a model using only one of them.

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## Lexical weighting

- Situation: rare phrase pairs have unreliable phrase translation probability estimates
	- $\rightarrow$  lexical weighting with word translation probabilities



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## Lexical weighting



$$
lex(\bar{e}|\bar{f}, a) = w(does|NULL) \times w(not|nicht) \times \n\frac{1}{3}(w(assume|geht) + w(assume|down) + w(assume|aus))
$$

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Language model has a bias towards short translations:  $\rightarrow$  word count (output length):  $\text{wc}(e) = \log|\mathbf{e}|^{\omega}$ 

- $\bullet \omega < 1$ : preference for shorter translations.
- $\omega > 1$ : preference for longer translations.

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We may prefer finer (many short phrases) or coarser (few longer phrases) segmentation:  $\rightarrow$  phrase count:  $pc(e) = log |I|^{\rho}$ 

- $\rho < 1$ : preference for fewer (longer) phrases.
- $\rho > 1$ : preference for more (shorter) phrases.

 $E^*$   $A^*$   $E^*$   $B^*$   $B^*$   $A^*$   $C^*$ 

- Different language pairs need different types of reordering:
	- **•** local: French, Arabian, Chinese to English
	- **o** distant: German, Japanese to English

Our reordering model generally punishes movement and it is up to the language model (usually based on trigrams) to justify the placement of words in a different order.

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## Lexicalized Reordering



- Distance-based reordering model is weak
	- $\rightarrow$  learn reordering preference for each phrase pair
- Three orientations types: (m) monotone, (s) swap, (d) discontinuous

orientation  $\in \{m, s, d\}$  $p_0$ (orientation $|\bar{f}, \bar{e}\rangle$ 

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## Learning Lexicalized Reordering



- Collect orientation information during phrase pair extraction
	- if word alignment point to the top left exists  $\rightarrow$  monotone
	- if a word alignment point to the top right exists  $\rightarrow$  swap
	- if neither a word alignment point to top left nor to the top right exists  $\rightarrow$  neither monotone nor swap  $\rightarrow$  discontinuous

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Estimation based on the maximum likelihood principle:

$$
p_o(\text{orientation}|\bar{\mathit{f}},\bar{\mathit{e}}) = \frac{\textit{count}(\text{orientation},\bar{\mathit{e}},\bar{\mathit{f}})}{\sum_o \textit{count}(o,\bar{\mathit{e}},\bar{\mathit{f}})}
$$

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$ 

## Learning Lexicalized Reordering

• Estimation by relative frequency

$$
\displaystyle \hspace{-.5cm} p_o(\text{orientation}) = \frac{\sum_{\bar{f}}\sum_{\bar{e}}count(\text{orientation},\bar{e},\bar{f})}{\sum_o\sum_{\bar{f}}\sum_{\bar{e}}count(o,\bar{e},\bar{f})}
$$

• Smoothing with unlexicalized orientation model  $p$  (orientation) to avoid zero probabilities for unseen orientations

 $p_o(\hbox{orientation}|\bar f,\bar e)=\frac{\sigma~\rho(\hbox{orientation})+{\it count}(\hbox{orientation},\bar e,\bar f)}{\sigma+\sum_o{\it count}(o,\bar e,\bar f)}$ 

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- What if we do not have the word alignment for the sentences?
- Could we align the phrases directly from the sentence pairs?

- We presented a heuristic set-up to build phrase translation table (word alignment, phrase extraction, phrase scoring)
- Alternative: align phrase pairs directly with EM algorithm

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#### • EM algorithm:

- initialization: uniform model, all  $\phi(\bar{e}, \bar{f})$  are the same
- expectation step:
	- **•** estimate likelihood of all possible phrase alignments for all sentence pairs
- **•** maximization step:
	- collect counts for phrase pairs  $(\bar{e}, \bar{f})$ , weighted by alignment probability
	- update phrase translation probabilties  $p(\bar{e}, \bar{f})$

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- There are many possibilities of phrases (input and output).
	- We might want to limit the phrases space: minimum occurrences for a phrase or phrase pair.
- Greedy search heuristic: can find high-probability phrase alignments in a reasonable time.
- Results are usually no better than using word alignments as input.
	- The method easily overfits: learns very large phrase pairs, spanning entire sentences.

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# Summary

- Phrase Model
- Training the model
	- word alignment
	- phrase pair extraction
	- **•** phrase pair scoring
- **o** Log linear model
	- sub-models as feature functions
	- lexical weighting
	- word and phrase count features
- Lexicalized reordering model
- EM training of the phrase model

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#### Statistical Machine Translation, Philipp Koehn (chapter 5).



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