ScootR: Scaling R Dataframes on Dataflow Systems

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ABSTRACT
To cope with today’s large scale of data, parallel dataflow engines such as Hadoop, and more recently Spark and Flink, have been proposed. They offer scalability and performance, but require data scientists to develop analysis pipelines in unfamiliar programming languages and abstractions. To overcome this hurdle, dataflow engines have introduced some forms of multi-language integrations, e.g., for Python and R. However, this results in data exchange between the dataflow engine and the integrated language runtime, which requires inter-process communication and causes high runtime overheads.

In this paper, we present ScootR, a novel approach to execute R in dataflow systems. ScootR tightly integrates the dataflow and R language runtime by using the Truffle framework and the Graal compiler. As a result, ScootR executes R scripts directly in the Flink data processing engine, without serialization and inter-process communication. Our experimental study reveals that ScootR outperforms state-of-the-art systems by up to an order of magnitude.

CCS CONCEPTS
• Information systems → Query languages for non-relational engines; Record and buffer management; Data management systems;

KEYWORDS
Dataflow Engines, Language Integration, Data Exchange

ACM Reference Format:

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SoCC ’18, October 11–13, 2018, Carlsbad, CA, USA
© 2018 Association for Computing Machinery.
ACM ISBN 978-1-4503-6011-1/18/10... $15.00
https://doi.org/10.1145/3267809.3267813

1 INTRODUCTION
Extracting value from data is a very important, but complex task. Typically, data analysts rely on complex execution pipelines composed of several stages, (e.g., data cleansing, transformation, and preparation) that need to be executed before the actual analysis or machine learning algorithm can be applied [34]. Often, these pipelines are repeatedly refined to obtain the best suited subset of data for prediction and analysis. Therefore, programming languages with rich support for data manipulation and statistics (provided as library functions), such as R and Python, have become increasingly popular [2]. More recently, such languages also started receiving increased attention in other domains such as enterprise software ecosystems [23]. While these languages are convenient for non-expert programmers, they are typically designed for a single-machine and in-memory usage. Thus, they run out of memory if data exceeds the available capacity and cannot scale-out without significant implementation efforts. In contrast, parallel dataflow systems, such as Apache Flink [3] and Apache Spark [33], are able to handle large amounts of data. However, data scientists are often unfamiliar with the systems’ native language and programming abstraction, which is crucial to achieve good performance [4]. To overcome this barrier, dataflow engines provide additional programming interfaces in guest languages, such as R and Python, which build on familiar abstractions, e.g., dataframes. Current state-of-the-art solutions integrate guest languages in two fundamental ways. They either use inter-process communication (IPC) or source-to-source translation (STS).

Inter-process communication. In this approach, the guest language runtime runs in a separate process. Input and output data
has to be exchanged via IPC between the process running the dataflow engine and the process running the guest language. IPC supports any valid code in the guest language but can incur major performance overhead in the form of data exchange between the processes and serialization to a format readable by both languages.

**Source-to-source translation.** In this approach, guest language code is translated to host language code, e.g., to the dataflow’s native API. While STS translation achieves near native performance, as the translation happens before program execution, it is limited to a restricted set of functions and library calls. Support for a rich set of language features would require a full-fledged compiler. The impact on the execution time for both methods is demonstrated in Figure 1, by comparing SparkR [27], which supports STS translation and IPC. In this case, the execution of a simple user-defined function (UDF) via IPC is more than $100 \times$ slower compared to STS translation\(^1\). Thus, current approaches either yield sub-optimal performance or restrict the set of usable guest language features.

In this paper, we introduce ScootR, a novel language integration approach based on an efficient intermediate representation (IR) for both the guest and the host language. We focus on the execution of UDF heavy pipelines – the bottleneck in current state-of-the-art solutions – and provide a dataframe-centric R API for transformation, aggregation, and application of UDFs with minimal overhead. Using a common IR, ScootR avoids the data exchange and serialization overheads introduced by IPC. ScootR extends on STS translation by using the existing compiler infrastructure and back-end of the host language to support a rich set of language features and pre-compiled modules.

ScootR is based on a tight integration of the fastR [24] language runtime with the Java Virtual Machine (JVM) responsible for executing Flink data processing pipelines. fastR is a GNU-R compatible R language runtime based on the Truffle language implementation framework and the Graal dynamic compiler [30, 31] for the JVM. Thus, ScootR efficiently executes a rich set of R UDFs within the same runtime and completely avoids IPC. By harnessing Truffle’s efficient language interoperability system, ScootR accesses Flink data types directly inside the R UDFs, avoiding data materialization and unnecessary data copying due to marshalling.

Our experiments show that ScootR achieves comparable performance to source-to-source translation and outperforms IPC based approaches by up to an order of magnitude, while supporting a rich set of language features. Analytics pipelines written in ScootR can either be executed on a single local machine, utilizing multi-threaded execution or distributed in a cluster, using both intra-node multi-threading and inter-node parallelism.

In summary, we make the following contributions:

1. We present a new integration technique that enables seamless, low-overhead, interoperability between the fastR R language runtime and the Flink dataflow engine. Our approach avoids the overhead of IPC and serialization present in state-of-the-art solutions.

2. We describe how we enable efficient exchange and access of data structures between fastR and Flink with minimal overhead and why it is necessary to achieve good performance.

3. We compare our implementation in an experimental study against the current state-of-the-art, as well as native execution in R and fastR.

2 BACKGROUND

In this section, we provide the necessary background to the systems used in ScootR. We describe the language interoperability features of Truffle that we use to achieve efficient data exchange between R and the Flink execution engine. Furthermore, we describe the basic concepts behind Flink needed in the following sections.

2.1 Graal, Truffle, and FastR

Truffle [31] is a language implementation framework. It is used to develop high-performance language runtimes by means of self-optimizing abstract syntax tree (AST) interpreters. These ASTs collect profiling information at runtime and specialize their structure accordingly. Examples for such specializations include elision of unnecessary type conversions as well as removal of complex method dispatch logic. Truffle provides interoperability features to efficiently exchange data and access functions between languages build on top of it [11].

Graal [30] is a dynamic compiler that has special knowledge of Truffle ASTs and can produce highly-optimized machine code by means of (automatic) partial evaluation: as soon as a Truffle AST self-optimizes itself by reaching a stable state, Graal assumes its structure to be constant and generates machine code for it. De-optimizations and speculation failures are handled automatically by Graal by transferring execution flow back to the AST interpreter. fastR is a high-performance GNU-R compatible R language runtime implemented using Truffle that relies on the Graal dynamic compiler for runtime optimization. It is open-source, and is available as one of the default languages of the GraalVM multi-language runtime [11, 30]. GraalVM can execute Java applications on top of the HotSpot [19] Java VM, and can execute other Truffle-based language runtimes such as JavaScript, Ruby, Python, and LLVM.

2.2 Apache Flink

Apache Flink is a massively parallel dataflow engine that extends the ideas of the MapReduce paradigm. It combines optimizations known from the database community with the UDF-centric work flow of a distributed execution engine. Written in Java, Flink offers native APIs in Java and Scala based on the `DataSet` abstract data type that represents a distributed collection. This type enables to describe dataflow pipelines by means of transformations on bags, based on second-order operators. A special tuple type (with fixed arity and typing of the fields), together with an extended set of operators, such as join and grouping, enable a more relational specification of the execution pipelines using acyclic graphs. Jobs specified in the `DataSet` API internally build a logical execution plan, which is optimized. The resulting physical plan is then scheduled for execution by the master node, called `JobManager`. The worker nodes – called `TaskManager` – execute in a shared-nothing architecture.

\(^1\)In Section 5.2, the full benchmark is discussed in detail.
3 GUEST LANGUAGE INTEGRATION

In this section, we discuss different approaches to call R code from within Java. While we focus on integrating R in a dataflow engine, the presented approaches are applicable to other programming languages as well. In the following examples, we concentrate on the task of evaluating a user-defined function, written in R, within a worker node of a dataflow engine, e.g., Apache Flink or Apache Spark. With that, we present current approaches based on inter-process communication, source-to-source translation, and common intermediate representations for both languages.

3.1 Inter-process communication

The first approach is based on inter-process communication between the Java process that runs the worker node of the dataflow system and an external R process. We provide a schematic illustration of the IPC in Figure 2.

![Figure 2: IPC between Java and an external R process.](image)

In the IPC approach, the worker node sends elements to the R process and the function is evaluated with the native R interpreter. Afterwards, the result is sent back to the worker node. The approach introduces three drawbacks: (i) The data in Java has to be serialized to a format suitable for exchange and deserialization in R. (ii) Additional communication overhead is introduced, as data is exchanged either through a (local) socket or a (memory-mapped) file, shared between the two communicating processes. (iii) In resource restricted environments, Java and R have to compete for the available memory, due to their isolated address spaces. Despite the presented drawbacks, IPC is used by several systems [9, 13, 32], as it only requires basic I/O facilities.

3.2 Source-to-Source Translation

Source-to-source translation (STS) tackles the problem from a completely different direction as the previously presented approach based on IPC. Instead of exchanging data between the processes, the execution of R code is avoided altogether by executing a (semantically-equivalent) translation of the UDF to a programming language natively supported by the dataflow engine.

![Figure 3: Source-to-source translation of R to Java for execution in native dataflow API.](image)

As an example, Figure 3 shows how the R source code of a user-defined function is translated to equivalent Java source code, before the actual execution of the dataflow program takes place. Once the translation is done, there is no interaction with R during program execution and STS translation offers native performance. Nevertheless, extensive support of guest language features essentially requires a full-fledged compiler and yield a huge effort. Thus, STS translation is often restricted to a domain-specific language subset to reduce the implementation effort.

3.3 Hybrid Approach

The R integration in Apache Spark [33], called SparkR [27], builds on a hybrid approach, combining STS translation and IPC. R language constructs that can be directly mapped to Spark’s native dataframe API are source-to-source translated, as described in Section 3.2. These constructs are limited to a subset of filter predicates (e.g., >, <, =, etc.), column manipulations and transformations (e.g., arithmetic operators, string manipulations, etc.), and library function calls. For instance, in the following example, an R `filter` function selects all tuples in the dataframe `df` that have the value ‘R’ in their `language` column:

```r
df <- filter(df, df$language == "R")
```

The R `filter` function can be translated to the following filter operator in Spark’s native Scala dataframe API, including the user-defined predicate:

```scala
val df = df.filter("language" === "R")
```

To run arbitrary UDFs, the user can specify functions on partitions of the dataframe, analogous to the apply function in R, and grouped data for aggregation. Here, source-to-source translation cannot be used anymore and SparkR falls back to the previously presented approach based on inter-process communication (Section 3.1). Thus, SparkR represents a combination of both presented approaches. It achieves near native performance for a subset of operators via STS translation, but falls back to IPC in case of general user-defined functions.

3.4 Common Intermediate Representation

To avoid IPC while supporting holistic optimizations for a rich set of language features, one can define a common intermediate representation (IR) both languages are translated to.

![Figure 4: A common runtime for the intermediate representations of both languages.](image)

The IR is then interpreted (and/or just-in-time compiled) on a common runtime or compiled to machine code, as depicted in Figure 4. Implementing such a compiler is a huge implementation effort. Translating high-level languages to an existing compiler
 infrastructure reduces this implementation effort, increases portability, and facilitates the reuse of compiler back-end components, e.g., to generate efficient machine code through a Virtual Machine (VM). Prominent examples are the Java Virtual Machine (JVM), which uses byte code as IR, and LLVM, which uses e.g., bitcode as IR.

Weld [20] provides an IR based on linear types that is optimized for multi-threading by loop tiling and vectorization. Implementations for several important libraries exist, including Python’s Pandas and NumPy, which are evaluated lazily to build a Weld Abstract Syntax Tree (AST). Thereby, it avoids the creation of intermediate results, e.g., by fusing dataframe transformations followed by a NumPy sum function.

As described in Section 2.1, GraalVM provides Truffle, a language implementation framework. Languages implemented in Truffle are automatically optimized and JIT compiled by the Graal compiler. In contrast to Weld, which provides its own runtime, GraalVM runs on the HotSpot runtime and therefore, can run and access Java seamlessly. In the next section, we describe how ScootR uses GraalVM to provide efficient execution of R code within the worker nodes of dataflow systems.

4 SCOOTR

In this section, we describe our approach to execute R code in Apache Flink. We first provide an overview of all the components in general, before we discuss each step in detail. We focus on the efficient execution of user-defined functions, as they introduce a big performance overhead in currently available solutions (Section 3).

4.1 Overview

We base our approach on fastR, the R implementation on top of the GraalVM. As introduced in Section 2, GraalVM is a language execution runtime capable of running multiple languages — including R and Java — in the same virtual machine instance. GraalVM enables seamless interoperability between all of its supported languages, and provides efficient language interoperability [11] capabilities. ScootR builds on such capabilities to expose Flink’s internal data structures to the fastR engine. ScootR distinguishes between two main phases: the plan generation phase and the plan execution phase.

Listing 1: Code snippet for the running example in ScootR.

```r
1  df <- flink.readdf("hdfs://some/input/file", list("flight_id", "distance"), ...
2  df$miles * 1.6
3  df$head(5)
```

Figure 5: The two main phases in ScootR.

Figure 5 details the components of each phase. In the plan generation phase, described in Section 4.2, ScootR builds a Flink operator plan from R source code, which is later executed by the dataflow engine. Similar to Flink’s native APIs, the dataframe API of ScootR is evaluated lazily. Calls to the API trigger no execution, but build a Flink operator graph until a materialization point – a sink in the graph – is reached. Section 4.2 A explains the steps necessary for Type and Function Mapping. ScootR defines the correct mapping of R dataframes to Flink’s DataSet abstraction. Based on this mapping, R functions are translated to their corresponding Flink operators as defined by ScootR’s function implementations in fastR. We detail the necessary steps to enable efficient execution of UDFs in Section 4.2 B (UDF Support). First, we show how ScootR determines the result types of UDFs via runtime type analysis. Second, we describe how ScootR achieves efficient data exchange between Java and R and why it is necessary to provide access to Flink’s underlying data structures.

After the operator plan is created, it is deployed on the Flink cluster and executed during the plan execution phase (Section 4.3). R UDFs are executed in parallel by each worker node. ScootR’s integration with the GraalVM ensures that each UDF is optimized by the Graal JIT compiler, automatically.

Running Example. Listing 1 gives an example of an R application, which makes use of the ScootR dataframe API. We use it as running example throughout the rest of this Section. In Lines 1 – 2, we specify the Flink cluster we execute on and its degree of parallelism. In Lines 4 – 8, we read an input file and convert it to a dataframe. Next, we project the flight_id and miles columns (Line 10) and create a new column km by applying the UDF in Line 11. Finally, we retrieve the first 5 entries of the dataframe in Line 12.

4.2 Plan Generation Phase

In this section, we explain each necessary step to translate programs defined in ScootR to their corresponding dataflow pipelines. We first detail the mechanics of the type and function mapping in A, before we describe the introduced optimizations to increase the performance of R UDFs in B.

A — In the following, we detail the type and function mapping between R and Java and describe the translation process for functions without user-defined code.

Mapping R Data Frames to Flink DataSets. Dataframes are a popular abstraction to represent tabular data in languages such as Python and R and used in many libraries. As ScootR’s API is build around dataframes, it is crucial to provide a valid and efficient mapping from an R dataframe to a data type suitable for processing in Flink. While Flink can work with arbitrary Java data types, it
provides special facilities for instances of its TupleN type, where 
\( N \) specifies the tuple’s fixed arity. The fields of a tuple are typed 
and can be compared to a row in a database table or an entry in 
a dataframe. Thus, we can define a mapping from an R dataframe 
\( df \) with \( N \) columns and types \( t_1, t_2,..., t_N \) to a Flink dataset \( ds \) 
with element type TupleN<\( t_1, t_2,..., t_N >\>. As individual dataframe 
columns can be accessed either by index or name, we maintain a 
mapping of the dataframe column names to their respective tuple 
indexes in our dataframe wrapper for the dataset.

**Defining R Functions for Flink Operators.** During lazy evalu-
ation, an R program using ScootR’s API is translated to a Flink 
operator plan. To generate such a plan from the R source code, 
ScootR introduces new Truffle AST nodes (called R builtinNode) 
that correspond to new built-in functions available to the R user. 
Some important functions in ScootR are depicted in Table 1. 
Listing 2 shows a snippet for the flink.select built-in function used 
in Line 11 of our running example in Listing 1. The specification of 
Truffle nodes relies on annotations, while the actual code for the 
AST nodes is generated during compilation by the Truffle frame-
work. The flink.select built-in expects a dataframe \( df \) and a 
variable length argument (indicated by three dots) representing the 
columns to project (Line 2). The behavior of the node is defined 
by methods annotated with @Specialization. For example, the 
behavior of the flink.select node in our snippet is defined in the 
select method in Line 5. Based on the arguments, it extracts the 
projected columns and adds the according Flink project operator 
to the execution graph.

```java
@RBuiltin(name = "flink.select",
          parameterNames = ("df", "..."))
abstract class FlinkSelect extends RbuiltinNode.Arg2 {
  @Specialization
  DataFrame select(DataFrame df,
                   RArgsValuesAndNames fields) {
    // determine projected columns
    // add Flink 'ProjectOperator' to Execution Plan
  }
}
```

Listing 2: Simplified Snippet of the flink.select R builtin.

**Functions without User-Defined Code.** R functions that do not 
involves user-defined code are directly mapped to their counter-
part operators defined by the Flink dataset API. For instance, 
the flink.select function from the running example (Listing 1, Line 10) 
is directly mapped to the project operator from Flink’s DataSet 
API, as described in the previous paragraph. ScootR performs the 
entire mapping of R functions without user-defined code during 
the plan generation phase and, therefore, they introduce no runtime 
overhead.

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**Runtime Type Analysis.** Explicit type ascription is not required 
for UDFs specified in R. In contrast, Flink requires the input and 
output types of operators when the operator plan is built. While 
the container type is fixed to TupleN, the arity \( N \) and the types 
of the fields may change when the UDF is applied. Thus, ScootR 
needs to execute the R UDF to determine its result type before 
the corresponding Flink operator, calling the function at runtime, is 
created. For performance considerations, we avoid taking a sam-
ple of the actual data to determine the initial data types, since the 
data might reside in a distributed file system such as HDFS [22]. 
Therefore, the current implementation requires to specify the data 
types in the R API when reading files (as in Listing 1, Line 7). The 
result types of all other operators in the pipeline are determined 
automatically by ScootR. The result type of non-UDF operators is 
defined by their semantics. In case of UDF operator, the R function 
is executed during the plan generation phase, while the operator 
graph is created. We instantiate temporary tuples with field values 
based on the runtime type inference of the previous operator, call 
the function with them, and thereby determine the result type of 
the UDF. In case the UDF does not return results for the temporary 
tuple used (e.g., it requires a specific number range), ScootR throws 
an exception during compilation and requests an explicit type an-
notation. Thus, ScootR keeps track of the current tuple type until 
the operator graph is built.

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**Data Access and Exchange.** An important aspect in ScootR is the 
efficient access to Java data types in R and vice versa. As we op-
erate in the context of dataflow engines, the R UDFs are on the 
hot path and get called for each processed data item in the worst 
case, e.g., for the map operator. Thus, efficient data exchange and 
access between Java and R is crucial. Figure 6 depicts the data flow 
during the execution of a Flink operator. The unoptimized data flow 
is shown on the left side of Figure 6. Even though ScootR avoids 
data exchange due to the shared runtime, it still has to apply type 
conversion and introduces materialization points. On the right side, 
the optimized version is depicted. Due to the direct access of Java 
types in R (and vice versa), as well as access to Flink’s abstract data 
types, we avoid type conversion and materialization. In the next 
paragraphs, we show how ScootR achieves these optimizations.

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in the UDF and to expose them as explicit function arguments. This is achieved, by wrapping the UDF in a R function which exposes the column values required by the UDF as arguments. For example, the \( \leftarrow \) apply function from Listing 1, Line 11, expecting one argument for the values of the \( \textit{miles} \) column, is wrapped into following function: \( \text{function} (\text{miles}) \ \text{miles} \times 1.6 \).

In general, multiple columns are accessed in the UDF and their values have to be extracted in a loop before being passed to the R function in the naive solution. To avoid this scenario and be able to call the function directly with the tuple instance, ScootR makes use of the Truffle language interoperability features, a message-based approach to gain access to foreign objects internals, called dynamic access [11]. It enables a guest language (e.g., R) to efficiently access objects from another language (e.g., Java) running in the same GraalVM instance. ScootR integrates the tuple type in the Truffle framework and thus, directly passes the tuples as arguments to the function to access fields as they would be dataframe columns.

(ii) The second case are operators that expect multiple tuples per function call, e.g., a mapPartitions operator. Flink provides access to all tuples expected by the function (e.g., all tuples contained in a partition) by an iterator. Using the aforementioned interoperability features, we provide direct access to the iterator in the R UDF. As the iterator itself returns tuples, ScootR can access them directly as described before. Without this optimization, ScootR would need to materialize all tuples contained in the partition before passing them, e.g., as an RList to the UDF. Therefore, it would introduce a pipeline barrier in the normally streaming execution, as all tuples have to be materialized before the R function can be called.

Likewise, an R UDF returns results that are passed back to the calling Flink operator for further processing in the operator pipeline. Therefore, ScootR also needs an efficient mechanism to access results of an R UDF in Flink. The R return type has to be handled differently depending on the higher-order operator that calls the R function:

(i) The simplest type is a map operator that returns exactly one value per input tuple. ScootR guarantees this case by the semantics of the \( \leftarrow \) apply function (Table 1). In this case, a new tuple is created after the R function execution, either appending a new column or replacing an existing one with the new values.

(ii) In the general apply function (Table 1), the UDF returns a vector of length \( N \). Since fastR provides wrappers for all primitive type lists in R, the result vector can be accessed with the same methods as the Java Vector class\(^2\). While this grants access to the values in Java, we still have to convert the R vector to a TupleN for further processing in Flink. To avoid this type conversion, ScootR provides built-in functions (see Section 4.2) to create Flink tuples directly in the R function. Thus, instead of returning an R vector, the function is rewritten to create and return instances of the corresponding tuple type directly using the built-in functions.

Figure 7 shows the execution time of a general apply function that does nothing except returning (a) a small and (b) a large tuple. We can observe that the function execution (purple bars) itself is about 15 percent faster when we create the Flink tuples directly in the R function. In addition, when an R list is returned, it still has to be converted into the equivalent tuple class, indicated by the pink bars in Figure 7. Overall, ScootR achieves \( 1.75 \times \) better performance by instantiating the tuples directly in the R UDF in this micro-benchmark.

\(^2\)R lists are backed by an Java array and provide constant time random access.

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**Table 1: Examples from the ScootR API.**

<table>
<thead>
<tr>
<th>Function</th>
<th>Example</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>flink.select</td>
<td>flink.select(df, x = COL1, COL2)</td>
<td>Project (and rename) the specified set of columns</td>
</tr>
<tr>
<td>( \leftarrow )</td>
<td>df$new \leftarrow (COL1 / COL2) \times 0.1</td>
<td>Create (Override) column by applying the UDF on each row</td>
</tr>
<tr>
<td>flink.apply</td>
<td>flink.apply(df, func)</td>
<td>Apply func on each row.</td>
</tr>
<tr>
<td>flink.apply</td>
<td>flink.apply(df, key = COL1, func)</td>
<td>Group by COL1 column and apply func on each group</td>
</tr>
<tr>
<td>flink.groupBy</td>
<td>max \leftarrow flink.groupBy(df, ‘COL1’)</td>
<td>Group by COL1 for further aggregation, e.g., max</td>
</tr>
<tr>
<td>flink.collect</td>
<td>fastr_df \leftarrow flink.collect(df)</td>
<td>Collect a distributed dataframe df on the driver</td>
</tr>
</tbody>
</table>
stores the output using Flink’s internal buffer management in the function call. Returning a list, the tuples have to be inserted after the function execution as depicted by the pink bars.

Illustrative Rewrite for the Running Example. Figure 9 shows the succession of R functions used and their corresponding Flink operators. Only the apply function includes user-defined code which has to be called and executed at runtime. All other functions can be replaced with the corresponding Flink operators during the plan generation phase. In the following, we describe the necessary modifications to the ← apply function before job execution.

Since the UDF is executed on every row in the example dataframe, a Flink map operator is generated. To determine the result type of the function, we execute it during the plan generation phase with a Tuple2≦ : (long, long) instantiated with random instances (1,1,0,3), based on the field types defined by the previous operator. The operator then calls the R function during the execution of each input tuple and has the following signature:

\[
\text{Tuple2} \rightarrow \text{Tuple3} : \text{(long, long, long)}
\]

The additional field in the return value results from the extension of the dataframe with the km column (Line 11 in Listing 1). Furthermore, given the mapping from column names to tuple indexes, the access to the miles column is replaced with a tuple index access:

\[
\text{function(tuple) } \text{tuple}[2] \ast 1.6
\]

4.3 Plan Execution Phase
After ScootR successfully generated the operator graph for the pipeline, it forwards it to the JobManager, which schedules its execution. During this process, the JobManager also sends the serialized R code to the responsible TaskManagers. The ScootR operator implementations evaluate the R UDFs upon their first use. Since a TaskManager can execute the same function simultaneously in its available task slots, ScootR caches the code and shares it between executions. Initially, the UDF is interpreted, however, as it gets hot, the JIT compiler will produce an efficient compiled version of it, which is executed for every subsequent call. The result of the job can either be directed to an output file or the user can collect it on the driver node via the flink.collect operator. If it is collected, ScootR passes the result as a dataframe to fastR, which can then be used for further local processing.

4.4 Implementation
We implemented ScootR in Flink without any changes to its existing code base. Thus, it benefits from new features introduced by new versions of Flink. All functions of ScootR’s API are represented via RBuiltin nodes. In addition, all internal data structures that are accessible inside R UDFs are provided as TruffleObjects. This enables, e.g., direct access to the Java tuples and their fields in R, without data exchange, as described in Section 4.2.

Library Support. R packages are used very frequently. Therefore, it is important to support R packages. Many of the packages call C implementations internally to achieve good performance. fastR implements the C API of GNU-R and therefore can execute such packages and external libraries seamlessly. While this works for most of the popular packages, some rely on GNU-R internals, which complicates the integration in fastR. fastR is continuously improved and more packages are added, which are then directly available in ScootR too.

5 EVALUATION
In this section, we compare ScootR against the previously presented approaches by evaluating both micro-benchmarks and operator pipelines using real-world datasets.

5.1 Experimental Setup
Cluster Setup. We conducted our experiments on a four-node cluster. Each node features an Intel E5530 processor (2.4GHz, 8 cores), and 24GB main memory. The nodes are connected via a 1GBit Ethernet connection. We used Spark v2.2.0, Flink v1.3.1, and Hadoop v2.7.1 for our distributed experiments. Furthermore, we use GNU-R v3.2.3 [21], the latest versions of fastR[4] and Graal[5] available while conducting the experiments, and JVMCI v0.33, based on the JDK v1.8.0_141. We execute each experiment 7 times and report the median time with error bars.

Datasets. We used two real-world datasets for our evaluation. The first dataset is the Airline On-Time Performance Dataset[6], which is also used to evaluate SparkR [27] and dplyr [29]. It contains JSON-formatted arrival data for flights in the USA with detailed information such as departure time, origin and destination, etc. We cleaned the data and reduced it to 19 columns per record (many of the original dataset columns contain no entries for 99.9% of the rows). As parsing JSON infers a high overhead in dataflow systems [16],

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[1] https://github.com/graalvm/fastr, commit: 72b860a

*The tuple fields indexes are 1 based in R.*
we converted the dataset to the CSV format. The resulting file size, containing data from the years 2005 – 2016, is 9.5GB. The second dataset is the Reddit Comments\footnote{http://files.pushshift.io/reddit/comments/} dataset, which consists of line-separated JSON entries. Each entry represents a comment on the news aggregator website www.reddit.com. In addition to the actual text of the comment, it contains further meta-information, such as the author name, up and down votes, category, etc. Similarly to the first dataset, we cleaned and converted the data to CSV in a preprocessing step. The raw data is provided as separate files for each month and we use the first 4 consecutive months starting from 2016. Each month amounts to roughly 33GB of raw data, resulting in about 14GB per month for the CSV used as input.

**Benchmark Overview.** We evaluated our approach for single and multi-node execution, comparing against: native GNU-R, fastR, and SparkR. First, we conducted a set of micro-benchmarks for (i) operators without user-defined code (e.g., select), and (ii) operators with user-defined code (e.g., map and flatmap). Here, we also compare the execution of SparkR with source-to-source compilation against the IPC approach. The goal of this set of micro-benchmarks is to highlight the benefits deriving from the efficient execution of UDFs in ScootR.

Second, in order to show the relevant performance impact of efficient UDFs in the context of real-world applications, we evaluated benchmarks consisting of operator pipelines. To this end, we chose to evaluate an extract-transform-load (ETL) or preprocessing pipeline on the airline dataset proposed by Oscar D. Lara et al.\footnote{The pipeline reports the maximum arrival delay per destination for flights from NY.} [32], a MapReduce-style aggregation pipeline, and a mixed pipeline with a multi-threaded ETL phase and successive, single-threaded model training in R.

### 5.2 Micro-benchmarks

In this section, we present micro-benchmarks for several operators in isolation. For non-UDF operators, both ScootR and SparkR achieve almost native performance compared to the native data-flow API. This is expected, as the operators can be translated before execution. Compared to standalone GNU-R and fastR, SparkR and ScootR are up to 20× faster on a single node (using 8 cores) and up to 46× for distributed execution (4 nodes × 8 cores).

The micro-benchmarks for operators with user-defined code show that ScootR and SparkR with STS translation achieve almost equal performance compared to their respective native API. It is important to note that ScootR achieves this even though the R UDF is executed. Benchmarks using IPC in SparkR, and thereby executing the R UDF, reveal its performance drawbacks as it fails to execute on the airline dataset within the set experiment timeout of 4 hours. Experiments on 10% of the original data show up to an magnitude slower execution times for SparkR with IPC compared to ScootR. The benchmarks also show the importance of direct access to internal data-structures to avoid additional cost due to materialization barriers. The benchmarks for 1:N output operators, e.g., flatmap, verify our assumptions that direct access to the Flink collector class in R yields comparable performance to native execution. In the following paragraphs, we describe each benchmark in detail.

**Non-UDF Operator.** In this first micro-benchmark, we project three columns from the airline dataset and write the result to a file. Figure 10 depicts the results for this benchmark for (a) a single node and (b) execution on the four nodes cluster. SparkR (STS) reflects the result for SparkR with source-to-source translation.

![Figure 10: Micro-benchmark for a single select function.](image-url)

As expected, SparkR (STS) and ScootR achieve almost native performance as the R `select` function is mapped to the project operator of the native APIs of the benchmarked systems. SparkR (STS) is about 1.15× slower than native Spark and ScootR about 1.13× slower than Flink. In contrast to GNU-R and fastR, which materialize the input data in memory before applying the select function, Flink and Spark stream the input data directly to the project operator. This results in a speedup of about 3× for both SparkR and ScootR compared to GNU-R for single-threaded execution. With increasing degree-of-parallelism (DOP), the speedup increases further to about 20× on a single node with DOP 8 (Figure 10 (a)) and up to 46× for the fully distributed execution on 32 cores (Figure 10 (b)). This result is expected, as the project operator is embarrassingly parallel. Interestingly, fastR is by a factor of 1.06× slower than GNU-R. We attribute this behavior to a more efficient implementation of the `read.csv` function in GNU-R.

**UDF Operator with 1:1 Output.** In this micro-benchmark, we compare the execution of an `apply` function similar to the one in the running example (Line 11 in Listing 1). It multiplies the distance column by a constant factor and appends the result as new column to the dataframe. The function is executed in ScootR via a `map` operator, as detailed in Section 4.2. SparkR (STS) uses source-to-source translation.

Figure 11 (a) depicts the result of the benchmark on a single node. Both SparkR (STS) and ScootR achieve almost the performance of their respective implementations in the native APIs. ScootR is at most 1.15× slower than Flink, while SparkR (STS) is about 1.07× slower respectively. These results are expected for SparkR (STS), as the UDF is translated to the native API. For ScootR, these results validate our expectations that we can achieve comparable performance to the native API even though the R function is executed in a `map` operator. GNU-R is outperformed by fastR (1.5×), and by both SparkR (STS) (up to 15×) and ScootR (up to 25×). Again, this is mainly due to the streaming facilities in Spark and Flink. In contrast to the previous benchmark, fastR is able to outperform GNU-R due to the more efficient execution of the `apply` function.
Cluster ScootR, the necessary additional pass over ScootR (List) to show the benefit of direct access to Flink’s data structures. Due to direct access to Flink’s data structures, SparkR (IPC) uses Spark’s function in SparkR (IPC) uses Spark’s mapPartitions operator to execute the UDF. The operator provides all tuples contained in the partition via an iterator to the UDF. As SparkR cannot access the iterator, all tuples in the iterator have to be materialized and are provided as dataframe to the UDF. This introduces a materialization barrier in the streaming execution and causes additional performance overhead. ScootR (MP) also uses the mapPartitions operator of Flink, but has access to the iterator via the language interoperability features described in Section 4.2. Thus, ScootR does not have to materialize and can directly access the tuples in a streaming fashion via the iterator in the R UDF.

UDF Operator with 1:N Output. The next micro-benchmark executes a more complex UDF, where we generate all 2-grams within the body column of the Reddit comments dataset. Compared to the previous benchmarks, the UDF is compute-heavy and second, the function is called within a flatmap operator. As the body has \(N\) 2-grams per comment, the function may emit \(0, 1, \ldots, N\) elements per input tuple. The ScootR function used in the experiment is detailed in Listing 6 in the Appendix. As described in Section 4.2, ScootR has direct access to the Flink collector class, which collects the output directly in R UDF.

Figure 13 depicts the result for the benchmark. The data size is increased alongside with the number of nodes and we use 1, 2, and 4 months of data. We observe that ScootR is only about a factor of 1.15 \(\times\) slower than Flink. As we can access the collector and create the Flink tuples directly inside the R function, we avoid the materialization and type conversion of the returned result. We report the execution times without access to the collector as ScootR (List) to show the benefit of direct access to Flink’s data structures. As discussed in Section 4.2, the necessary additional pass over the List and the type conversion results in 1.2 \(\times\) slower execution compared to ScootR with direct access. SparkR with IPC failed to execute within the set experiment timeout of 4 hours. The UDF cannot be expressed in SparkR with STS translation.

5.3 Results for Operator Pipelines

In this section, we provide benchmarks for operator pipelines. The first benchmark shows an ETL pipeline composed of several operators. It validates the results from the previous micro-benchmarks and shows near native performance for ScootR and SparkR compared to their respective system’s native APIs. Both outperform GNU-R and fastR by up to 2.5 \(\times\) for single-threaded and up to 20 \(\times\) for distributed execution. Again, while SparkR uses STS translation,
ScootR achieves this while executing the UDFs. The second benchmark shows a classical MapReduce pipeline. ScootR and SparkR execute in near native performance. The third benchmarks shows a mixed pipeline combining preprocessing and model training. It shows the benefits of the seamless integration of ScootR, as we collect the distributed data for further local processing in the same R script (depicted in Listing 5 in the Appendix). Thereby, we can achieve up to $12 \times$ performance improvement compared to executing the complete pipeline in fastR as the majority of the time is spent for preprocessing. In the following paragraphs, we describe each benchmark in detail.

**ETL Pipeline.** In this experiment, we execute the pipeline described by Oscar D. Lara et al. [32]. The ScootR code for the pipeline is depicted in Listing 3 in the Appendix. The goal of this pipeline is categorizing the delay of flights by two carriers in the airline dataset. The operators used in the pipeline are embarrassingly parallel.

![Figure 14: Benchmark for the ETL pipeline shown in the Appendix, Listing 3.](image)

Figure 14 depicts the results for the execution on (a) a single node and (b) the four nodes cluster. SparkR (STS) is around $1.2 \times$ slower than Spark and ScootR is up to $1.4 \times$ slower than Flink in the worst case. Both outperform GNU-R and fastR by $2.5 \times$ for single-threaded and up to $20 \times$ for distributed execution. GNU-R is only $1.05 \times$ slower than fastR. This is mostly due to high selectivity of the filter operator at the beginning of the pipeline, which significantly reduces the amount of data. Thus, the data that has to be processed by the two successive UDFs is reduced significantly.

**Map-Reduce Pipeline.** So far, the benchmarks did not involve aggregations. Therefore, we designed a Map-Reduce-style aggregation pipeline to determine the largest arrival delay per destination for flights that started from New York. The ScootR code for the pipeline is depicted in Listing 4 in the Appendix.

![Figure 15: Benchmark for the MapReduce pipeline shown in the Appendix, Listing 4.](image)

Figure 15 (a) and (b) depict the results for the benchmark on a single node and the four nodes cluster. ScootR is up to $1.3 \times$ slower than Flink and SparkR (STS) up to $1.15 \times$ than Spark. While both translate the aggregation function to a native API call, ScootR directly executes the R predicate for the filter function. Even though the data size is reduced significantly by the filter operation, the aggregation, due to the necessary shuffle step, together with the initial reading of the data is still responsible for the majority of the execution time.

**Mixed Pipeline.** In this experiment we evaluate a mixed pipeline. We use the dataflow system to perform the initial data preprocessing before it is gathered on the driver node for further analysis locally as in-memory dataframe. Specifically, we train a generalized linear model with the glm function provided in R and show the model description with the summarize function. The ScootR code for the pipeline is depicted in Listing 5 in the Appendix.

![Figure 16: Benchmark for the mixed pipeline shown in the Appendix, Listing 5. The fraction of time spent for the glm function is indicated in dark blue.](image)

Figure 16 depicts the results for the described pipeline. We can observe that most of the execution time is spent in the ETL pipeline, which reduces the initial Airline dataset from 9.5GB to approximately 90MB. While all of the systems spend the majority of the time in the preprocessing phase, we can decrease the duration significantly by using ScootR, even in the single-threaded execution case. Compared to GNU-R, ScootR is about $3.6 \times$ faster for single-threaded execution, and $12.3 \times$ faster when using 8 cores. The performance drawbacks of fastR and GNU-R result from the initial dataset reading and materialization costs.

### 5.4 Discussion

The main goal of our evaluation was to highlight our two main contributions: (i) The integration of an R language API based on a common runtime to avoid data exchange, while supporting a rich set of language features. (ii) The necessity of our applied optimizations to share data structures between the guest- and the host language to provide fast data access and avoid type conversion.

To this end, we conducted benchmarks comparing ScootR, SparkR, fastR, and GNU-R for both single operators and operator pipelines.
for single node and cluster configurations. The non-UDF micro-
benchmark functions clearly show that ScootR and SparkR provide
reliable mapping of R functions to their respective native API calls,
with below 1.2x overhead. For functions calling R UDFs, ScootR
can compete with SparkR’s source-to-source translation approach,
even though ScootR executes the R function in the fastR language
runtime. In contrast, when SparkR has to fall back to inter-process
communication, its performance degrades by an order of magnitude
compared to ScootR. The benchmarks for 1:N operators show that
direct access to data structures is necessary to avoid data materi-
alization and therefore achieve comparable performance to the
native execution. The benchmarks for operator pipelines, validate
the assumptions behind the micro-benchmark experiments, and
show very small performance overheads of up to 1.2x for SparkR
(STS) and 1.4x for ScootR. Both SparkR and ScootR outperform
GNU-R and fastR, even for single-threaded execution on a single
node.

6 RELATED WORK

In this Section, we discuss related work on DSL language compilers,
parallelization based on existing dataflow systems, and paralleliza-
tion packages for the R programming language itself.

Compiler-based Approaches. Weld [20] offers a functional inter-
mediate representation based on nested parallel loops and builders
that specify what should be computed. Libraries and functions rep-
resent their operations using this IR to avoid materializing/copying
of intermediate results, which is normally required when data is
passed from one library to another. Weld applies optimizations
such as loop tiling and vectorization, and generates code for di-
verse processors including CPUs and GPUs. Tupleware [8] is a
distributed analytics system that focuses on the efficient execution
of UDF-centric workflows. It provides an IR based on the LLVM
compiler framework [15], which can be targeted by any language
that emits LLVM code. Tupleware applies high-level optimizations,
such as predicate pushdown or join reordering, as well as low-level
optimizations, such as reordering of the program structure and
vectorization. Pydron [18] parallelizes sequential Python code to
execute on multi-core, cloud, and cluster infrastructures. It is based
on two Python decorators, one to mark functions to parallelize and
another one to mark side-effect free functions. Functions annotated
for parallelization are translated to an intermediate representation.
Pydron applies several optimizations based on this IR, including
control flow and scheduling decisions for it’s parallelization.

All of the mentioned systems provide a familiar interface to the
programmer, while they achieve efficient execution by carefully
applied optimizations or parallelized execution. ScootR shares this
goal, but incorporates R into an existing dataflow system without
changing it. It achieves this by relying on the GraalVM, a JVM-
compatible language runtime that enables multi-language execu-
tion. The approach is not restricted by an IR specially designed
for the systems optimization goals. Thus, ScootR profits directly
from the ongoing efforts to advance the performance of Graal, and
can be easily extended with support for new languages and diverse
processors, e.g., GPUs [10].

Parallelism based on DataFlow engines. Hadoop’s Streaming
utility is used as a common basis for IPC in several frameworks.

It allows to specify executables and scripts that are called in the
map and reduce functions. Here, scripts receive data via stdin while
results are emitted via stdout. RHadoop is a collection of tools to
work with the Hadoop ecosystem within R. Likewise, R Revolu-
tion, now called Microsoft R Open and Server (commercial version),
provides the option to run R on top of Hadoop. All the presented
systems inherit the drawbacks of IPC, namely communication overhead, (de)serialization and data-processing pipeline disruption, as discussed in Section 3.1.

RIPE [12] is also based on Hadoop and uses IPC while exchang-
ing data via Google’s ProtocolBuffers, a language- and platform-
neutral mechanism for serializing structured data. RIPE allows
for easy use of HSql, the query language of Hive [25], in R. In addi-
tion, UDFs and user-defined aggregate functions (UDFAs) can
be specified in R, which are executed via IPC with an external R
process. RIPE has a more efficient data exchange format compared
to Hadoop Streaming, but it still inherits the drawbacks of IPC, as
the executables run in separated processes.

Ricardo [9] was developed by IBM and executes Jaql [5] queries
on top of Hadoop. Beside Jaql functions that do not involve user-
defined code, users can specify R UDFs, which are executed in an
external R process. Thus, it provides a hybrid approach as discussed
in Section 3.3. Ricardo inherits the drawbacks from IPC when exe-
cuting user-defined functions, but it provides so-called trading that
allows for mixed R and Hadoop execution. Preprocessing can be
executed in Hadoop before the results are fetched in R and can be
used as input to the manifold libraries in R. ScootR is influenced by
the trading concept, but does not have to fall back to IPC in case of
user-defined functions.

Big R [32] is based on IBM BigInsights and uses a restricted,
overloaded set of R operations and transformations specified in Jaql
that can be executed on top of Hadoop. The results are returned as
a dataframe, which is used for further processing in R. In contrast
to Big R, ScootR is not restricted to a limited set of operators and
executes arbitrary R functions.

SparkR [27] provides a dataframe-centric programming abstrac-
tion in R. As described in Section 3, SparkR avoids IPC by applying
source-to-source translation for a subset of operations and library
calls. In case the source-to-source compiler cannot translate the R
program, SparkR falls back to use an external R process using inter-
process communication. This falls back causes large performance
penalties. ScootR builds upon the ideas of SparkR for non-UDF
operators, while improving execution time for arbitrary UDFs.

Spark also provides a programming abstraction for Python, called
PySpark. While the underlying concepts are the same as in SparkR,
there is an ongoing effort to integrate Apache Arrow [1]. Apache
Arrow’s goal is to provide a common in-memory data representa-
tion that provides efficient access and APIs in Python, C, and Java.
Therefore, it improves data exchange between Spark and Python,
while also providing more efficient access for the popular Python
pandas dataframes. While Arrow looks promising, data needs to be
serialized to and de-serialized from the binary format of Arrow.

SystemML [6] is a system for the efficient execution of linear
algebra programs on Apache Spark written in a DSL based on R’s
matrix abstraction. While its focus is clearly on linear algebra, it
provides basic facilities to transform input data with a restricted
set of operations and predefined functions. As SystemML focus is
clearly on linear algebra, it is orthogonal to our goal of providing efficient execution of UDF-centric workflows.

SciDB [7] is an array database that focuses on efficient execution of array manipulation and linear algebra. SciDB provides an R abstraction in addition to its native API. As SystemML, its focus is not UDF support and therefore orthogonal to our goals.

**R Parallelization Packages.** There are several explicit parallelization packages, such as Snow [26] and Snowfall [14], with parallel versions of the common apply* methods. In addition, there are packages based on parallelized versions of the foreach construct [17, 28] for different back-ends such as Socket, MPI, PVM, and NetworkSpaces. These packages focus on parallelizing computation heavy, splittable tasks, but not on large amounts of data. In consequence, they offer no facilities to read distributed files and reflect the scatter/gather model from MPI. In contrast, ScootR focuses on parallelizing computations on large amounts of data.

7 CONCLUSION

In this paper, we presented ScootR, a novel approach to execute R user-defined functions in dataflow systems with minimal overhead. Existing state-of-the-art systems, such as SparkR, use source-to-source translation to the systems' native API to achieve near native performance for a restricted subset of user-defined functions. When running arbitrary UDFs, their performance may degrade by a factor of up to 170×, as they have to fall back to inter-process communication. This overhead is due to the necessary data serialization and data transfer imposed by IPC. ScootR avoids such overheads by tightly integrating the dataflow engine with the R language runtime, using the Truffle framework and the Graal compiler. By making Flink abstract data types accessible to the R user-defined functions, ScootR avoids type conversion as well as intermediate results duplications and copies. Our experimental study shows that ScootR achieves comparable performance to systems based on source-to-source translation, even though ScootR executes the UDF in an R language runtime. When SparkR has to fall back to inter-process communication, ScootR has up to an order of magnitude higher performance.

**Future Work.** The techniques and approaches presented so far are general and are applicable to other dataflow systems as well. With possibly few exceptions, most of the existing systems provide a relational-style API based on typed, fixed-length tuples. For instance, one could provide a similar abstraction implemented on top of the Spark dataset and/or dataframe abstraction, following the approach outlined in this paper. Another interesting extension would be the integration of other Truffle-based (dynamic) languages such as JavaScript or Python. To this end, a small language agnostic and data-processing centric Truffle API could be defined, which could be used as common abstraction by different language runtimes.

APPENDIX

```r
df <- flink.readdf(...) df <- flink.filter(df, df$canceled == 0 && df$dep_delay >= 10 && df$carrier %in% c("AA", "HA")) df <- flink.select(df, carrier, origin, dest, dep_delay, arr_delay) df$avgDelay <- (df$arr_delay + df$dep_delay) / 2 df$delay <- if (df$avgDelay > 30) "High" else if (df$avgDelay < 20) "Low" else "Medium" df$head(5) df <- flink.readdf(...) df <- flink.filter(df, df$origin == 'JFK') grp <- flink.groupBy(df, 'dest') max <- grp$max('arr_delay') cat(max$head(5))
```

Listing 3: Data transformation pipeline proposed in [32].

```r
df <- flink.readdf(...) df <- flink.filter(df, df$canceled == 0 && df$dep_delay >= 10 && df$carrier %in% c("AA", "HA")) df <- flink.select(df, carrier, dep_delay, arr_delay, distance) fastR_df <- flink.collect(df) model <- glm( arr_delay ~ dep_delay + distance, data = fastR_df, family = "gaussian") summary(model)
```

Listing 5: A mixed pipeline. The ETL part is executed on Flink, before the data is collected on the driver to train a generalized linear model in fastR.

```r
df <- flink.readdf(...) ngrams <- function(tpl, collector) { splits <- strsplit(tpl$body, " ")[[1]] numSplits <- length(splits) srtIdx <- 1 endIdx <- 2 while (endIdx <= numSplits) { twoGram <- paste(splits[srtIdx:endIdx], collapse = " ") srtIdx <- srtIdx + 1 endIdx <- endIdx + 1 collector$collect(flink.tuple(twoGram, 1)) } }
```

Listing 6: Calculating the 2-grams of the body column in the Reddit comments dataset.
REFERENCES


ScootR: Scaling R Dataframes on Dataflow Systems SoCC ’18, October 11–13, 2018, Carlsbad, CA, USA